



Def. 7.1 Let  $M$  be a deterministic TM that halts on all inputs. The **running time** or **time complexity** of  $M$  is the function  $f: \mathbb{N} \rightarrow \mathbb{N}$  where  $f(n)$  is the maximum number of steps that  $M$  takes on any input of length  $n$ .

Def 7.2 Let  $f, g$  be functions  $f, g: \mathbb{N} \rightarrow \mathbb{R}^+$

Then we say that  $f(n) = O(g(n))$  if there exist  $c, n_0 \in \mathbb{Z}_+$  s.t.  $\forall n \geq n_0, f(n) \leq c \cdot g(n)$

Def 7.7 Let  $t: \mathbb{N} \rightarrow \mathbb{R}^+$  be a function.

The **time complexity class**  $TIME(t(n))$  is the collection of all languages that are decided by an  $O(t(n))$  time TM.

Note that we are only dealing with decision problems: given  $w \in \Sigma^*$  does  $w \in L$ ? where  $L$  is the given language

## Remark

Connection between decision and optimization variants of the same problem.

$SPT_k$ :  $\left\{ \begin{array}{l} \text{given } G=(V,E) \text{ and } w:E \rightarrow \mathbb{R}_+, K \in \mathbb{Z}_+ \\ Q: \text{ is there a spanning tree of weight } \leq K? \\ \text{optimization version is MST problem.} \end{array} \right.$

Given a MST we can answer  $SPT_k$

Solving MST via  $\log_2(\text{opt})$  calls to  $SPT_k$

$$k=1: SPT_1 \div$$

$$k=2: SPT_2 \div$$

⋮

$$k=2^{r-1}: SPT_{2^{r-1}} \div$$

$$k=2^r: SPT_{2^r} \div$$

→ binary search between  $2^{r-1}$  and  $2^r$

Recall from Chapter 3 (see page 10 in notes from Feb 27)

### Thm 7.8

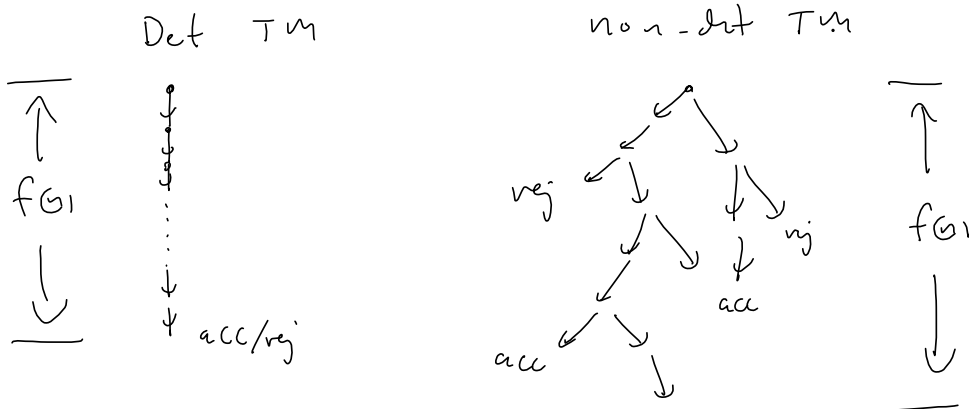
Let  $t(n)$  be a function with  $t(n) \geq n$ .

Then every  $t(n)$  time multitape TM has an equivalent  $O(t^2(n))$  time single tape TM.

### Def 7.9

Let  $M$  be a non-det. TM that is a decider.

The running time of  $M$  is the function  $f: \mathbb{N} \rightarrow \mathbb{N}$ , where  $f(n)$  is the maximum number of steps that  $M$  uses on any branch of its computation on any input of length  $n$ .



Recall notes from March:

Thm 7.11 Let  $t(n)$  be a function with  $t(n) \geq n$

Then every  $t(n)$  time non deterministic TM has an equivalent  $2^{O(t(n))}$  time equivalent deterministic single tape TM.

All reasonable deterministic computational models are polynomially equivalent.

I.e. any of them can simulate another with only a polynomial increase in running time.

We focus on aspects of time complexity that are unaffected by polynomial differences in running time.

Our aim is to present fundamental properties of computation rather than properties of Turing machines.

Def 7.12

$\mathbf{P}$  is the class of languages that are decidable in polynomial time. That is,

$$\mathbf{P} = \bigcup_k \text{TIME}(n^k)$$

Notes

1.  $P$  is invariant for all models of computation that are polynomially equivalent to the deterministic single tape TM
2.  $P \sim$  class of problems that are realistically solvable on a computer.

Encodings of problems (denoted  $\langle \dots \rangle$ )

avoid unary  $10 \sim 1111111111$

as this is exponentially larger than any coding such as base  $k$  for any  $k \geq 2$ . E.g. 1000 uses only 10 bits in base 2.

Codings of graphs

1. list of vertices and edges + possible costs in binary

2. adjacency matrix:  $V \times V$  matrix  
with entry  $(i,j) = \begin{cases} 1 & \text{if edge from } i \text{ to } j \\ 0 & \text{otherwise} \end{cases}$

possibly with cost  $c(i,j)$  instead of 1  
in binary



Thm 5.30

None of  $EQ_{TM}$  and  $\overline{EQ_{TM}}$  are recognizable.

P: recall that if  $A$  is not recognizable and  $A \leq_m B$  then  $B$  is not recognizable

We show that  $A_{TM} \leq_m EQ_{TM}$   
and  $A_{TM} \leq_m \overline{EQ_{TM}}$   
(note that  $A \leq_m B \Leftrightarrow \overline{A} \leq_m \overline{B}$ )

$$A_{TM} \leq \overline{EQ_{TM}}$$

Given  $\langle m \rangle \langle w \rangle$  construct  $\hat{M}_w$   
such that  $L(\hat{M}_w) = \begin{cases} \emptyset & \text{if } w \notin L(M) \\ \Sigma^* & \text{if } w \in L(M) \end{cases}$

then  $\langle m \rangle \langle w \rangle \in A_{TM}$

$$\iff \langle \hat{M}_w \rangle \langle M_\emptyset \rangle \in \overline{EQ_{TM}}$$

*if  $\langle m \rangle$  not a TM then  $\hat{M}_w = M_\emptyset$*

$$A_{TM} \leq_m EQ_{TM}$$

$$\langle m \rangle \langle w \rangle \longrightarrow \langle \hat{M}_w \rangle \langle M_{\Sigma^*} \rangle$$



## Examples of problems in P:

1.  $\text{SP}_k$  from before (earlier slide)

2.  $\text{PATH} = \{ \langle G, s, t \rangle \mid G \text{ is a digraph} \\ s, t \text{ vertices and} \\ \exists (s, t)\text{-path in } G \}$



$\text{PATH} \in \mathbf{P}$

Deciding membership of context-free  $L$

Given  $w \in \Sigma^*$  is  $w \in L$ ?

Here  $L$  is given by a Chomsky Grammar.

$$(s \xrightarrow{*} w \iff s \xRightarrow[2|w|-1]{\text{steps}} w$$

method 1: try all derivations of length  $w$

$$\leq |R|^{2|w|-1} \text{ not polynomial.}$$

Dynamic programming solution!

$$w = w_1 w_2 \dots w_n \quad w_i \in \Sigma$$

Construct  $n \times n$  matrix  $T$  where (in the end)

$T_{i,j}$  = set of variables that generate  $w_i w_{i+1} \dots w_j$

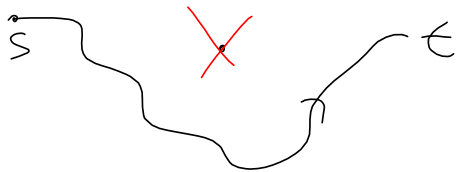
First  $T_{i,i} = \{ A \mid A \Rightarrow w_i \} \quad i=1, 2, \dots, n$

idea: If  $A \rightarrow BC$  and  $B \Rightarrow w_i \dots w_j$   $C \Rightarrow w_{j+1} \dots w_p$   
then  $A \Rightarrow w_i \dots w_p$  so add  $A$  to  $T_{i,p}$

Solution in step  $r \quad (r \in \{2, \dots, n-1\})$

$\forall A \rightarrow BC \in R$ : if  $i+r \leq n$  and  $\exists k \in \{i, \dots, i+r\}$  s.t.  $B \in T_{i,k}, C \in T_{k+1, i+r}$   
then add  $A$  to  $T_{i, i+r}$

$HAMPATH = \{ (G, s, t) \mid G \text{ is a digraph}$   
 $s, t \text{ are vertices of } G$   
 $\text{an } \exists (s, t)\text{-path}$   
 $\text{containing all vertices}$

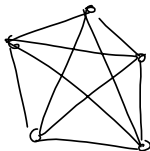


"Difficult" but easy if we can guess.

Given  $s = v_1, v_2, \dots, v_{n-1}, v_n = t$

easy to check whether  $v_i v_{i+1}$  is an arc for  $i=1, 2, \dots, n-1$

$CLIQUE = \{ (G, k) \mid G \text{ is a graph that}$   
 $\text{has a complete subgraph}$   
 $\text{of size } k$



Given  $v_{i_1}, v_{i_2}, \dots, v_{i_k}$  we just need to check  
 that  $v_{i_p} v_{i_q} \in E(G) \forall p \neq q$

called a 'certificate' for  $(G, k) \in CLIQUE$   
 'proof'

remark if we just have  $G, k$   
 then (essentially) no better  
 method is known than trying all  
 $n^k$  possible subsets.

For HAMPATH: could try all  $(n-2)!$   
 permutations of  $V - \{s, t\}$

Def 7.18

A verifier for a language  $A$  is an algorithm  $\mathcal{A}$   
 s.t.  $A = \{w \mid \exists c \text{ (string) s.t. } \mathcal{A} \text{ accepts } \langle w, c \rangle\}$   
 $\mathcal{A}$ 's running time is measured in terms of  $n = |w|$   
 $\mathcal{A}$  is a pol. verifier if it has running time  $O(n^k)$

The string  $c$  is the certificate for  $w \in A$   
 $|c(w)| \leq \text{running time of } \mathcal{A}$

Def 7.19  $NP = \{ L \mid L \text{ has a polytime verifier} \}$

NDTM for HAMPATH

1. Guess  $v_1, v_2, \dots, v_n$
2. Check for repetitions ( $v_i = v_j$ ) and 'reject' if one is found
3. Check  $s = v_1, t = v_n$  if not 'reject'
4. Check whether  $v_i v_{i+1}$  is an arc ( $i = 1, 2, \dots, n-1$ ) if so 'accept' otherwise 'reject'

Thm 7.20

$L \in NP \Leftrightarrow L$  is decided by some NDTM

Suppose  $A \in NP$  and let  $\mathcal{A}_A$  be a verifier for  $A$  s.t.  $\mathcal{A}_A$  runs in time  $O(n^k)$

NDTM: on input  $w$ ,  $|w|=n$

1. non det select a string  $c$  s.t.  $|c| \leq n^k$
2. Run  $\mathcal{A}_A$  on  $\langle w, c \rangle$
3. accept if  $\mathcal{A}_A$  accepts else reject.

Conversely suppose  $A$  is decided by some NDTM  $N$ , construct  $\mathcal{A}$  as follows

$\mathcal{A}$ : on input  $\langle w, c \rangle$

1. Simulate  $N$  on  $w$  using  $c$  to guide which branch to take (as in proof of Thm 3.16)
2. If this branch of  $N$ 's computation results in accept, then  $\mathcal{A}$  accepts  $\langle w, c \rangle$  otherwise reject!

$$\text{NTIME}(t(n)) = \left\{ L \mid L \text{ is decided by an } \right. \\ \left. O(t(n))\text{-time NDTM} \right\}$$

Cor 7.22  $\text{NP} = \bigcup_k \text{NTIME}(n^k)$

Summarizing

$$P = \{ L \mid L \text{ can be decided fast} \}$$

$$\text{NP} = \{ L \mid L \text{ can be verified fast} \}$$

open  $P = \text{NP}?$  solve and make  $10^6$  \$

Know  $\text{NP} \subseteq \text{EXPTIME} = \bigcup_k \text{TIME}(2^{n^k})$