

# On-Line Algorithms – F09 – Lecture 1

## **Textbook**

Allan Borodin and Ran El-Yaniv, *Online Computation and Competitive Analysis*, Cambridge University Press, 1998. There will also be supplementary articles.

## **Format**

The course will be taught by Joan Boyar. The lectures will probably be in English. There will be an oral exam on June 24.

The weekly notes and other information about the course is available through the WorldWideWeb. Use the URL:

<http://www.imada.sdu.dk/~joan/online/>.

Please do not hesitate to contact me if you have questions concerning the course. I have office hours on Mondays and Thursdays from 9:00 to 9:45.

I will be out of town the week starting April 20. We will try to schedule some extra lectures to make up for that.

## **Lecture, April 6**

We begin with an introduction to the course. Then, we will cover chapter 1 in the textbook, probably covering up through section 1.3.

## **Lecture, April 15**

We will continue with chapter 1 in the textbook.

## Problems for April 17

Note that we may not finish all of these problems. If not, we will continue on April 29.

1. Do Exercise 1.2 in the textbook (assume the static problem).
2. For the proof of theorem 1.1, work out the details for insertion of an item.
3. This is a modification of Exercise 1.4. The algorithm *fractional MTF* uses a parameter  $d \geq 1$ . Let  $\text{MTF}_d$  be the variant of MTF that moves an accessed or inserted item at position  $i$   $\frac{i}{d} - 1$  positions closer to the front of the list. Show that  $\text{MTF}_d(\sigma) \leq d(2 \cdot \text{OPT}_C + \text{OPT}_A - n)$ , where  $\text{OPT}_A$  is the total number of transpositions performed by OPT.

Hint: Use  $d$  times the number of inversions for your potential function.

4. Do Exercise 1.6 in the textbook.
5. Consider the algorithm MTF2 for list accessing, which moves an item to the front of the list every second time it accesses it. Let the list consist of the items  $\{a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n\}$ . Show that on the following sequence (repeated  $s$  times), the ratio of MTF2's performance to OPT's is at least  $\frac{7}{3}$ .

$\langle a_1, a_2, \dots, a_n, b_n, b_n, b_{n-1}, b_{n-1}, \dots, b_1, b_1,$   
 $a_n, a_{n-1}, \dots, a_1, b_n, b_n, b_{n-1}, b_{n-1}, \dots, b_1, b_1,$   
 $b_1, b_2, \dots, b_n, a_n, a_n, a_{n-1}, a_{n-1}, \dots, a_1, a_1,$   
 $b_n, b_{n-1}, \dots, b_1, a_n, a_n, a_{n-1}, a_{n-1}, \dots, a_1, a_1 \rangle$

Hint: Assume that MTF starts with the list ordered as

$$\langle a_1, a_2, \dots, a_n, b_1, b_2, \dots, b_n \rangle,$$

while the algorithm it is competing against starts with

$$\langle a_1, a_2, \dots, a_n, b_n, b_{n-1}, \dots, b_1 \rangle .$$

Note that this observation is due to Ansgar Grüne and his students and reveals an error in Exercise 1.5 in the textbook.

6. For the on-line Dual Bin Packing Problem, there are  $n$  bins of unit size. The request sequence contains items of size  $0 \leq s \leq 1$  which are to be placed in the bins. The goal is to accept and pack as many items in the request sequence as possible subject to the constraint that no bin should contain items whose sizes add up to more than 1. This is a maximization problem.
- Give a definition of the competitive ratio for this problem which gives ratios of at most 1.
  - Consider the algorithm First-Fit, which places an item in the first bin in which it fits. First-Fit is a *fair* algorithm, an algorithm which never rejects an item if it fits in one of the bins when it is rejected. Define two more fair algorithms and one which is not fair.
  - Assume that  $\frac{1}{k}$  is the size of the smallest item in any sequence. Prove an upper bound of  $\frac{2-\frac{1}{k}}{k}$  on First-Fit's competitive ratio on sequence of this type (assume that OPT must also be fair). Is First-Fit competitive? Prove a lower bound of  $\frac{1}{k}$  on First-Fit's competitive ratio on sequences of this type.