#### Views

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#### Views

- A view is a relation defined in terms of stored tables (called base tables) and other views
- Two kinds:
  - 1. Virtual = not stored in the database; just a query for constructing the relation
  - *2. Materialized* = actually constructed and stored

### **Declaring Views**

- Declare by: CREATE [MATERIALIZED] VIEW <name> AS <query>;
- Default is virtual
- PostgreSQL has no direct support for materialized views

#### Materialized Views

- Problem: each time a base table changes, the materialized view may change
  - Cannot afford to recompute the view with each change
- Solution: Periodic reconstruction of the materialized view, which is otherwise "out of date"

### Example: A Data Warehouse

- Bilka stores every sale at every store in a database
- Overnight, the sales for the day are used to update a *data warehouse* = materialized views of the sales
- The warehouse is used by analysts to predict trends and move goods to where they are selling best

#### Virtual Views

- only a query is stored
- no need to change the view when the base table changes
- expensive when accessing the view often

### **Example:** View Definition

 CanDrink(drinker, beer) is a view "containing" the drinker-beer pairs such that the drinker frequents at least one bar that serves the beer:

CREATE VIEW CanDrink AS

SELECT drinker, beer

FROM Frequents, Sells

WHERE Frequents.bar = Sells.bar;

### **Example:** View Definition

 CanDrink(drinker, beer) is a view "containing" the drinker-beer pairs such that the drinker frequents at least one bar that serves the beer:

CREATE VIEW CanDrink AS

SELECT drinker, beer

FROM Frequents NATURAL JOIN Sells;

### **Example:** View Definition

 CanDrink(drinker, beer) is a view "containing" the drinker-beer pairs such that the drinker frequents at least one bar that serves the beer:

CREATE TABLE CanDrink

(drinker TEXT, beer TEXT);

CREATE RULE "\_RETURN" AS ON SELECT

TO CanDrink DO INSTEAD

SELECT drinker, beer

FROM Frequents NATURAL JOIN Sells;

#### **Example:** Accessing a View

- Query a view as if it were a base table
- Example query:

SELECT beer FROM CanDrink
WHERE drinker = 'Peter';

The rule "\_RETURN" will rewrite this to: SELECT beer FROM (SELECT drinker, beer FROM Frequents NATURAL JOIN Sells) AS CanDrink where drinker = 'Peter'; 10

# Modifying Virtual Views

- Generally, it is impossible to modify a virtual view, because it does not exist
- But a *rule* lets us interpret view modifications in a way that makes sense
- Example: the view Synergy has (drinker, beer, bar) triples such that the bar serves the beer, the drinker frequents the bar and likes the beer

#### **Example:** The View



Natural join of Likes, Sells, and Frequents

#### **Example:** The View

CREATE VIEW Synergy AS SELECT drinker, beer, bar FROM Likes NATURAL JOIN Sells NATURAL JOIN Frequents;

### Interpreting a View Insertion

- We cannot insert into Synergy it is a virtual view
- But we can use a rule to turn a (drinker, beer, bar) triple into three insertions of projected pairs, one for each of Likes, Sells, and Frequents
  - Sells.price will have to be NULL

#### The Rule

CREATE RULE ViewRule AS ON INSERT TO Synergy DO INSTEAD ( INSERT INTO Likes VALUES (NEW.drinker, NEW.beer); INSERT INTO Sells (bar, beer) VALUES (NEW.bar, NEW.beer); INSERT INTO Frequents VALUES (NEW.drinker, NEW.bar);

);

**Example:** Assertion CREATE FUNCTION CheckNumbers() RETURNS TRIGGER AS \$\$BEGIN IF (SELECT COUNT (\*) FROM Bars) > (SELECT COUNT(\*) FROM Drinkers) THEN RAISE EXCEPTION '2manybars'; END IF; RETURN NEW; END\$\$ LANGUAGE plpgsql;

- CREATE TRIGGER NumberBars AFTER INSERT ON Bars EXECUTE PROCEDURE CheckNumbers();
- CREATE TRIGGER NumberDrinkers AFTER DELETE ON Drinkers EXECUTE PROCEDURE CheckNumbers();

**Example:** Assertion CREATE FUNCTION CheckNumbers() RETURNS TRIGGER AS \$\$BEGIN IF (SELECT COUNT (\*) FROM Bars) > (SELECT COUNT (\*) FROM Drinkers) THEN RETURN NULL; END IF; RETURN NEW; END\$\$ LANGUAGE plpqsql;

- CREATE TRIGGER NumberBars AFTER INSERT ON Bars EXECUTE PROCEDURE CheckNumbers();
- CREATE TRIGGER NumberDrinkers AFTER DELETE ON Drinkers EXECUTE PROCEDURE CheckNumbers();

#### **Example:** Assertion

CREATE RULE CheckBars AS ON INSERT TO Bars WHEN (SELECT COUNT(\*) FROM Bars) >= (SELECT COUNT(\*) FROM Drinkers) DO INSTEAD NOTHING;

CREATE RULE CheckDrinkers AS ON DELETE TO Drinkers WHEN (SELECT COUNT(\*) FROM Bars) >= (SELECT COUNT(\*) FROM Drinkers) DO INSTEAD NOTHING;

#### Transactions

# Why Transactions?

- Database systems are normally being accessed by many users or processes at the same time
  - Both queries and modifications
- Unlike operating systems, which support interaction of processes, a DMBS needs to keep processes from troublesome interactions

#### **Example:** Bad Interaction

- You and your domestic partner each take \$100 from different ATM's at about the same time
  - The DBMS better make sure one account deduction does not get lost
- Compare: An OS allows two people to edit a document at the same time; If both write, one's changes get lost

#### Transactions

- Transaction = process involving database queries and/or modification
- Normally with some strong properties regarding concurrency
- Formed in SQL from single statements or explicit programmer control

#### **ACID Transactions**

- ACID transactions are:
  - Atomic: Whole transaction or none is done
  - Consistent: Database constraints preserved
  - *Isolated:* It appears to the user as if only one process executes at a time
  - Durable: Effects of a process survive a crash
- Optional: weaker forms of transactions are often supported as well

### COMMIT

- The SQL statement COMMIT causes a transaction to complete
  - database modifications are now permanent in the database

#### ROLLBACK

- The SQL statement ROLLBACK also causes the transaction to end, but by *aborting*
  - No effects on the database
- Failures like division by 0 or a constraint violation can also cause rollback, even if the programmer does not request it

### **Example:** Interacting Processes

- Assume the usual Sells(bar,beer,price) relation, and suppose that C.Ch. sells only Od.Cl. for 20 and Er.We. for 30
- Peter is querying Sells for the highest and lowest price C.Ch. Charges
- C.Ch. decides to stop selling Od.Cl. And Er.We., but to sell only Tuborg at 35

### Peter's Program

- Peter executes the following two SQL statements called (min) and (max) to help us remember what they do
- (max) SELECT MAX(price) FROM Sells
   WHERE bar = 'C.Ch.';
  (min) SELECT MIN(price) FROM Sells
   WHERE bar = 'C.Ch.';

### Cafe Chino's Program

- At about the same time, C.Ch. executes the following steps: (del) and (ins)
- (del) DELETE FROM Sells WHERE bar = 'C.Ch.';
  (ins) INSERT INTO Sells VALUES('C.Ch.', 'Tuborg', 35);

### Interleaving of Statements

 Although (max) must come before (min), and (del) must come before (ins), there are no other constraints on the order of these statements, unless we group Peter's and/or Cafe Chino's statements into transactions

### **Example:** Strange Interleaving

 Suppose the steps execute in the order (max)(del)(ins)(min)

 C.Ch. Prices: {20, 30} {20,30}
 {35}

 Statement: (max) (del) (ins) (min)

 Result:
 30
 35

Peter sees MAX < MIN!</p>

# Fixing the Problem

- If we group Peter's statements (max) (min) into one transaction, then he cannot see this inconsistency
- He sees C.Ch.'s prices at some fixed time
  - Either before or after they changes prices, or in the middle, but the MAX and MIN are computed from the same prices

#### Another Problem: Rollback

- Suppose C.Ch. executes (del)(ins), not as a transaction, but after executing these statements, thinks better of it and issues a ROLLBACK statement
- If Peter executes his statements after (ins) but before the rollback, he sees a value, 35, that never existed in the database

### Solution

- If Cafe Chino executes (del)(ins) as a transaction, its effect cannot be seen by others until the transaction executes COMMIT
  - If the transaction executes ROLLBACK instead, then its effects can *never* be seen

#### **Isolation Levels**

- SQL defines four *isolation levels* = choices about what interactions are allowed by transactions that execute at about the same time
- Only one level ("serializable") = ACID transactions
- Each DBMS implements transactions in its own way

# Choosing the Isolation Level

- Within a transaction, we can say:
   SET TRANSACTION ISOLATION LEVEL X where X =
  - 1. SERIALIZABLE
  - 2. REPEATABLE READ
  - 3. READ COMMITTED
  - 4. READ UNCOMMITTED

#### Serializable Transactions

 If Peter = (max)(min) and C.Ch. = (del)(ins) are each transactions, and Peter runs with isolation level
 SERIALIZABLE, then he will see the database either before or after C.Ch. runs, but not in the middle
# **Isolation Level Is Personal Choice**

- Your choice, e.g., run serializable, affects only how you see the database, not how others see it
- Example: If Cafe Chino Runs serializable, but Peter does not, then Peter might see no prices for Cafe Chino
  - i.e., it looks to Peter as if he ran in the middle of Cafe Chino's transaction

#### **Read-Commited Transactions**

- If Peter runs with isolation level READ COMMITTED, then he can see only committed data, but not necessarily the same data each time.
- Example: Under READ COMMITTED, the interleaving (max)(del)(ins)(min) is allowed, as long as Cafe Chino commits

Peter sees MAX < MIN</p>

#### **Repeatable-Read Transactions**

- Requirement is like read-committed, plus: if data is read again, then everything seen the first time will be seen the second time
  - But the second and subsequent reads may see *more* tuples as well

## Example: Repeatable Read

- Suppose Peter runs under REPEATABLE READ, and the order of execution is (max)(del)(ins)(min)
  - (max) sees prices 20 and 30
  - (min) can see 35, but must also see 20 and 30, because they were seen on the earlier read by (max)

## Read Uncommitted

- A transaction running under READ UNCOMMITTED can see data in the database, even if it was written by a transaction that has not committed (and may never)
- Example: If Peter runs under READ UNCOMMITTED, he could see a price 35 even if Cafe Chino later aborts

#### Indexes

#### Indexes

- Index = data structure used to speed access to tuples of a relation, given values of one or more attributes
- Could be a hash table, but in a DBMS it is always a balanced search tree with giant nodes (a full disk page) called a *B-tree*

## **Declaring Indexes**

- No standard!
- Typical syntax (also PostgreSQL):
   CREATE INDEX BeerInd ON Beers (manf);
   CREATE INDEX SellInd ON Sells (bar, beer);

# Using Indexes

- Given a value v, the index takes us to only those tuples that have v in the attribute(s) of the index
- Example: use BeerInd and SellInd to find the prices of beers manufactured by Albani and sold by Cafe Chino (next slide)

# Using Indexes

SELECT price FROM Beers, Sells WHERE manf = 'Albani' AND

Beers.name = Sells.beer AND

bar = 'C.Ch.';

- Use BeerInd to get all the beers made by Albani
- 2. Then use SellInd to get prices of those beers, with bar = 'C.Ch.'

# Database Tuning

- A major problem in making a database run fast is deciding which indexes to create
- Pro: An index speeds up queries that can use it
- Con: An index slows down all modifications on its relation because the index must be modified too

# **Example:** Tuning

- Suppose the only things we did with our beers database was:
  - 1. Insert new facts into a relation (10%)
  - 2. Find the price of a given beer at a given bar (90%)
- Then SellInd on Sells(bar, beer) would be wonderful, but BeerInd on Beers(manf) would be harmful

# **Tuning Advisors**

- A major research area
  - Because hand tuning is so hard
- An advisor gets a *query load*, e.g.:
  - 1. Choose random queries from the history of queries run on the database, or
  - 2. Designer provides a sample workload

# **Tuning Advisors**

- The advisor generates candidate indexes and evaluates each on the workload
  - Feed each sample query to the query optimizer, which assumes only this one index is available
  - Measure the improvement/degradation in the average running time of the queries

# Summary 7

More things you should know:

- Constraints, Cascading, Assertions
- Triggers, Event-Condition-Action
- Triggers in PostgreSQL, Functions
- Views, Rules
- Transactions

#### **Real SQL Programming**

# SQL in Real Programs

- We have seen only how SQL is used at the generic query interface – an environment where we sit at a terminal and ask queries of a database
- Reality is almost always different: conventional programs interacting with SQL

# Options

- Code in a specialized language is stored in the database itself (e.g., PSM, PL/pgsql)
- SQL statements are embedded in a host language (e.g., C)
- 3. Connection tools are used to allow a conventional language to access a database (e.g., CLI, JDBC, psycopg2)

#### **Stored Procedures**

- PSM, or "*persistent stored modules*," allows us to store procedures as database schema elements
- PSM = a mixture of conventional statements (if, while, etc.) and SQL
- Lets us do things we cannot do in SQL alone

#### Procedures in PostgreSQL

CREATE PROCEDURE <name> ([<arguments>]) AS \$\$ <program>\$\$ LANGUAGE <lang>;

 PostgreSQL only supports functions: CREATE FUNCTION <name> ([<arguments>]) RETURNS VOID AS \$\$ <program>\$\$ LANGUAGE <lang>;

#### Parameters for Procedures

- Unlike the usual name-type pairs in languages like Java, procedures use modename-type triples, where the *mode* can be:
  - IN = function uses value, does not change
  - OUT = function changes, does not use
  - INOUT = both

## **Example:** Stored Procedure

- Let's write a procedure that takes two arguments b and p, and adds a tuple to Sells(bar, beer, price) that has bar =
  - 'C.Ch.', beer = b, and price = p
    - Used by Cafe Chino to add to their menu more easily

#### The Procedure





# **Invoking Procedures**

 Use SQL/PSM statement CALL, with the name of the desired procedure and arguments

Example:

CALL ChinoMenu ('Eventyr', 50);

- Functions used in SQL expressions wherever a value of their return type is appropriate
- No CALL in PostgreSQL:
   SELECT ChinoMenu ('Eventyr', 50);

# Kinds of PL/pgsql statements

- Return statement: RETURN <expression> returns value of a function
  - Like in Java, RETURN terminates the function execution
- Declare block: DECLARE <name> <type> used to declare local variables
- Groups of Statements: BEGIN . . . END
  - Separate statements by semicolons

# Kinds of PL/pgsql statements

#### Assignment statements: <variable> := <expression>;

- Example: b := 'Od.Cl.';
- Statement labels: give a statement a label by prefixing a name and a colon

#### **IF Statements**

- Simplest form: IF < condition > THEN <statements(s)> END IF; Add ELSE < statement(s) > if desired, as IF . . . THEN . . . ELSE . . . END IF; Add additional cases by ELSEIF
  - <statements(s)>: IF ... THEN ... ELSEIF ... THEN ... ELSEIF ... THEN ... ELSE ... END IF;

#### Example: IF

- Let's rate bars by how many customers they have, based on Frequents(drinker,bar)
  - <100 customers: 'unpopular'</p>
  - 100-199 customers: 'average'
  - >= 200 customers: 'popular'
- Function Rate(b) rates bar b

#### Example: IF



#### Loops

Basic form:

<<<label>>> LOOP

<statements>

END LOOP;

#### Exit from a loop by: EXIT <label> WHEN <condition>

#### **Example:** Exiting a Loop

#### <<loop1>> LOOP

#### EXIT loop1 WHEN ...;

END LOOP;

If this statement is executed and the condition holds ...

... control winds up here

- WHILE <condition> LOOP <statements> END LOOP;
- Equivalent to the following LOOP: LOOP EXIT WHEN NOT <condition>; <statements> END LOOP;

- FOR <name> IN <start> TO <end> LOOP
   <statements>
  - END LOOP;
- Equivalent to the following block:
  - <name> := <start>;
  - LOOP EXIT WHEN <name> > <end>; <statements>
    - <name> := <name>+1; END

- FOR <name> IN REVERSE <start> TO <end> LOOP <statements> END LOOP;
- Equivalent to the following block:
  - <name> := <start>;
  - LOOP EXIT WHEN <name> < <end>; <statements>

<name> := <name> - 1; END LOOP;

- FOR <name> IN <start> TO <end> BY <step> LOOP <statements> END LOOP;
- Equivalent to the following block:
  - <name> := <start>;
  - LOOP EXIT WHEN <name> > <end>; <statements>
    - <name> := <name>+<step>; END LOOP;

# Queries

- General SELECT-FROM-WHERE queries are *not* permitted in PL/pgsql
- There are three ways to get the effect of a query:
  - 1. Queries producing one value can be the expression in an assignment
  - 2. Single-row SELECT ... INTO
  - 3. Cursors
#### Example: Assignment/Query

- Using local variable p and Sells(bar, beer, price), we can get the price Cafe Chino charges for Odense Classic by:
  - p := (SELECT price FROM Sells
    WHERE bar = 'C.Ch' AND
    beer = 'Od.Cl.');

#### SELECT ... INTO

 Another way to get the value of a query that returns one tuple is by placing INTO <variable> after the SELECT clause

#### Example:

SELECT price INTO p FROM Sells
WHERE bar = 'C.Ch.' AND
beer = 'Od.Cl.';

#### Cursors

- A *cursor* is essentially a tuple-variable that ranges over all tuples in the result of some query
- Declare a cursor c by:
   DECLARE c CURSOR FOR <query>;

## **Opening and Closing Cursors**

To use cursor c, we must issue the command:

OPEN c;

- The query of c is evaluated, and c is set to point to the first tuple of the result
- When finished with *c*, issue command: CLOSE c;

## Fetching Tuples From a Cursor

To get the next tuple from cursor c, issue command:

FETCH FROM c INTO  $x_1, x_2, ..., x_n$ ;

- The x's are a list of variables, one for each component of the tuples referred to by c
- c is moved automatically to the next tuple

# Breaking Cursor Loops – (1)

- The usual way to use a cursor is to create a loop with a FETCH statement, and do something with each tuple fetched
- A tricky point is how we get out of the loop when the cursor has no more tuples to deliver

# Breaking Cursor Loops – (2)

- Many operations return if a row has been found, changed, inserted, or deleted (SELECT INTO, UPDATE, INSERT, DELETE, FETCH)
- In plpgsql, we can get the value of the status in a variable called FOUND

## Breaking Cursor Loops – (3)

The structure of a cursor loop is thus:

<<cursorLoop>> LOOP

```
FETCH c INTO ... ;
IF NOT FOUND THEN EXIT cursorLoop;
END IF;
```

END LOOP;

. . .

. . .

#### **Example:** Cursor

- Let us write a procedure that examines Sells(bar, beer, price), and raises by 10 the price of all beers at Cafe Chino that are under 30
- Yes, we could write this as a simple UPDATE, but the details are instructive anyway

#### The Needed Declarations

CREATE FUNCTION RaisePrices()

RETURNS VOID AS \$\$

DECLARE theBeer CHAR(20);

thePrice REAL;

Used to hold beer-price pairs when fetching through cursor c

#### c CURSOR FOR

(SELECT beer, price FROM Sells WHERE bar = 'C.Ch.');

> Returns Cafe Chino' s price list

#### The Procedure Body



### **Tuple-Valued Variables**

- PL/pgsql allows a variable x to have a tuple type
- x R%ROWTYPE gives x the type of R's tuples
- *R* could be either a relation or a cursor
- x.a gives the value of the component for attribute a in the tuple x

#### Example: Tuple Type

Repeat of RaisePrices() declarations with variable bp of type beer-price pairs CREATE FUNCTION RaisePrices() RETURNS VOID AS \$\$ DECLARE CURSOR C IS SELECT beer, price FROM Sells WHERE bar = 'C.Ch.'; bp c%ROWTYPE;

## RaisePrices() Body Using bp



#### **Database-Connection Libraries**

#### Host/SQL Interfaces Via Libraries

- The third approach to connecting databases to conventional languages is to use library calls
  - 1. C + CLI
  - 2. Java + JDBC
  - 3. Python + psycopg2

#### **Three-Tier Architecture**

- A common environment for using a database has three tiers of processors:
  - 1. Web servers talk to the user.
  - 2. Application servers execute the business logic
  - *3. Database servers* get what the app servers need from the database

#### Example: Amazon

- Database holds the information about products, customers, etc.
- Business logic includes things like "what do I do after someone clicks 'checkout' ?"
  - Answer: Show the "how will you pay for this?" screen

### Environments, Connections, Queries

- The database is, in many DB-access languages, an *environment*
- Database servers maintain some number of *connections*, so app servers can ask queries or perform modifications
- The app server issues *statements:* queries and modifications, usually

#### JDBC

- Java Database Connectivity (JDBC) is a library similar for accessing a DBMS with Java as the host language
- >200 drivers available: PostgreSQL, MySQL, Oracle, ODBC, ...
- http://jdbc.postgresql.org/



## URL for PostgreSQL database

- jdbc:postgresql://<host>[:<port>]/
   <database>?user=<user>&
   password=<password>
- Alternatively use getConnection variant:
- getConnection("jdbc:postgresql:// <host>[:<port>]/<database>",
   <user>, <password>);
- DriverManager.getConnection("jdbc:pos tgresql://10.110.4.32:5434/postgres", "petersk", "geheim");

#### Statements

- JDBC provides two classes:
  - Statement = an object that can accept a string that is a SQL statement and can execute such a string
  - 2. PreparedStatement = an object that has an associated SQL statement ready to execute

#### **Creating Statements**

- The Connection class has methods to create Statements and PreparedStatements Statement stat1 = myCon.createStatement(); PreparedStatement stat2 = myCon.createStatement( "SELECT beer, price FROM Sells " + "WHERE bar = (C.Ch.'));
  - createStatement with no argument returns a Statement; with one argument it returns a PreparedStatement 96

## **Executing SQL Statements**

- JDBC distinguishes queries from modifications, which it calls "updates"
- Statement and PreparedStatement each have methods executeQuery and executeUpdate
  - For Statements: one argument the query or modification to be executed
  - For PreparedStatements: no argument

#### Example: Update

- stat1 is a Statement
- We can use it to insert a tuple as:
- stat1.executeUpdate(
  - "INSERT INTO Sells " +
  - "VALUES ('C.Ch.', 'Eventyr', 30)"

### **Example:** Query

- stat2 is a PreparedStatement holding the query "SELECT beer, price FROM Sells WHERE bar = 'C.Ch.' "
- executeQuery returns an object of class
   ResultSet we'll examine it later
- The query:

ResultSet menu = stat2.executeQuery();

### Accessing the ResultSet

- An object of type ResultSet is something like a cursor
- Method next() advances the "cursor" to the next tuple
  - The first time next() is applied, it gets the first tuple
  - If there are no more tuples, next() returns the value false

## Accessing Components of Tuples

- When a ResultSet is referring to a tuple, we can get the components of that tuple by applying certain methods to the ResultSet
- Method getX(i), where X is some type, and i is the component number, returns the value of that component
  - The value must have type X

#### **Example:** Accessing Components

- Menu = ResultSet for query "SELECT beer, price FROM Sells WHERE bar = 'C.Ch.' "
- Access beer and price from each tuple by:

while (menu.next()) {

- theBeer = menu.getString(1);
- thePrice = menu.getFloat(2);

/\*something with theBeer and thePrice\*/

#### Important Details

- Reusing a Statement object results in the ResultSet being closed
  - Always create new Statement objects using createStatement() or explicitly close ResultSets using the close method
- For transactions, for the Connection con use con.setAutoCommit(false) and explicitly con.commit() or con.rollback()
  - If AutoCommit is false and there is no commit, closing the connection = rollback<sub>103</sub>