**Chapter 9** 



# **Dynamic Systems**





### **Repetition: Chapter 8 Model-Based Design**





### **Repetition: Chapter 8 Model-Based Design**





### **Repetition: Chapter 8 Model-Based Design**



# **Course Outline**



- 2. Processes and Threads
- **3**. Concurrent Execution
- 4. Shared Objects & Interference
- 5. Monitors & Condition Synchronization
- 6. Deadlock
- 7. Safety and Liveness Properties
- Model-based Design

#### Advanced topics ...

- 9. Dynamic systems
- 10. Message Passing
- 11. Concurrent Software Architectures

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The main basic

Concepts

Models

Practice

- 12. Timed Systems
- 13. Program Verification
- 14. Logical Properties





Concepts: dynamic creation and deletion of processes Resource allocation example – varying number of users and resources. master-slave interaction







Concepts:	dynamic creation and deletion of processes Resource allocation example – varying number of users and resources. master-slave interaction
Models:	static - fixed populations with cyclic behavior
	interaction
Practice:	dynamic creation and deletion of threads (# active threads varies during execution) Resource allocation algorithms Java join() method

# 9.1 Golf Club Program



#### Players at a Golf Club hire golf balls and then return them after use.

Player d4 is waiting for four balls



# 9.1 Golf Club Program



#### Players at a Golf Club hire golf balls and then return them after use.



Expert players tend not to lose any golf balls and only hire one or two. Novice players hire more balls, so that they have spares during the game in case of loss. However, they buy replacements for lost balls so that they return the same number that they originally hired.

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# **Golf Club - Java Implementation**





# **Golf Club - Java Implementation**





The Java interface Allocator permits us to develop a few implementations of the golf ball allocator without modifying the rest of the program.

```
public interface Allocator {
    public void get(int n) throws InterruptedException;
    public void put(int n);
  }
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```

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# **Golf Club - Java Implementation**





The Java interface Allocator permits us to develop a few implementations of the golf ball allocator without modifying the rest of the program.

DisplayAllocator class implements this interface and delegates calls to get and put to SimpleAllocator.

```
public interface Allocator {
    public void get(int n) throws InterruptedException;
    public void put(int n);
}
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```

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```
public class SimpleAllocator implements Allocator {
 private int available;
  public SimpleAllocator(int n)
    { available = n; }
```

```
public class SimpleAllocator implements Allocator {
  private int available;
  public SimpleAllocator(int n)
    { available = n; }
  synchronized public void get(int n)
           throws InterruptedException {
    while (n>available) wait();
    available -= n;
```

```
public class SimpleAllocator implements Allocator {
  private int available;
  public SimpleAllocator(int n)
    { available = n; }
                                               get blocks a
  synchronized public void get(int n)
            throws InterruptedException {
                                               calling thread until
    while (n>available) wait();
                                               sufficient golf
    available -= n;
                                               balls are
                                               available.
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    while (n>available) wait();
                                               sufficient golf
    available -= n;
                                               balls are
                                               available.
  synchronized public void put(int n) {
    available += n;
    notifyAll();
```

```
public class SimpleAllocator implements Allocator {
  private int available;
  public SimpleAllocator(int n)
    { available = n; }
                                                 get blocks a
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            throws InterruptedException {
                                                 calling thread until
    while (n>available) wait();
                                                 sufficient golf
    available -= n;
                                                 balls are
                                                 available.
  synchronized public void put(int n) {
                                                 A novice thread
    available += n;
                                                 requesting a large
    notifyAll();
                                                 number of balls may
                                                 be overtaken and
                                                 remain blocked!
```

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### **Java Implementation - Player Thread**



```
class Player extends Thread {
 private GolfClub gc;
 private String name;
 private int nballs;
  Player(GolfClub g, int n, String s) {
     qc = q; name = s; nballs =n;
 public void run() {
    trv {
      gc.getGolfBalls(nballs,name);
      Thread.sleep(gc.playTime);
      gc.relGolfBalls(nballs,name);
    } catch (InterruptedException e) {}
```

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### **Java Implementation - Player Thread**



The run() method terminates after releasing golf balls. New player threads are created dynamically.

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#### Approach 1: explicitly create threads,

Create one thread for each player

new Thread(new Player(...)).start()



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Create one thread for each player

new Thread(new Player(...)).start()

- Drawbacks:
  - thread life cycle overhead
  - resources consumption, especially memory
  - Stability: no controlled limits on #threads that can be created, OutOfMemoryError







Approach 2: Executor framework

interface Executor{

void execute(Runnable command);



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void execute(Runnable command);

Executor exec = Executors.newFixedThreadPool(NTHREADS);
exec.execute(new Player(...));



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interface Executor{

void execute(Runnable command);

Executor exec = Executors.newFixedThreadPool(NTHREADS);
exec.execute(new Player(...));

- By decoupling the task submission from execution, we can easily change or specify execution policies, such as
  - execution order, how many tasks are allowed to run concurrently and how many are queued, etc.

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#### Allocator:



ocator:		Allocator will ac	Allocator will accept	
const N=5 // m range B=0N // a	maximum #golf balls available range	requests for up balls, and block requests for more	to b re	
ALLOCATOR = BALL	.[N],	than b balls.		
BALL[b:B] = (when	en (b>0) get[i:1	b]->BALL[b-i]		
put ).	:[j:1N]	->BALL[b+j]		



<pre>cator: const N=5</pre>	Allocator will accept requests for up to b balls, and block requests for more than b balls.	
<pre>BALL[b:B] = (when (b&gt;0) get[i:1b]-&gt;BA</pre>	LL[b-i] LL[b+j]	

Players:

How do we model the potentially infinite stream of dynamically created player threads?



ocator: const N=5 // maximum #golf balls range B=0N // available range	Allocator will accept requests for up to b balls, and block requests for more than b balls
BALL[b:B] = (when (b>0) get[i:1])	bl->BALL[b-i]
<pre> put[j:1N] ).</pre>	->BALL[b+j]

Players:

How do we model the potentially infinite stream of dynamically created player threads?

Cannot model infinite state spaces, but can model infinite (repetitive) behaviors.









Players:




#### Players:

range R=1..N //request range



































#### **Golf Club Model - Liveness**



```
progress NOVICE = {Novices.get[R]}
progress EXPERT = {Experts.get[R]}
||ProgressCheck = GOLFCLUB >>{Players.put[R]}.
```

#### **Golf Club Model - Liveness**



```
progress NOVICE = {Novices.get[R]}
progress EXPERT = {Experts.get[R]}
||ProgressCheck = GOLFCLUB >>{Players.put[R]}.
```

```
Progress violation: NOVICE
Trace to terminal set of states:
     alice.need.2
     bob.need.2
     chris.need.2
     chris.get.2
     dave.need.5
     eve.need.5
Cycle in terminal set:
     alice.get.2
     alice.put.2
Actions in terminal set:
     {alice, bob, chris}.{get, put}[2]
```

#### **Golf Club Model - Liveness**



```
progress NOVICE = {Novices.get[R]}
 progress EXPERT = {Experts.get[R]}
 ||ProgressCheck = GOLFCLUB >>{Players.put[R]}.
Progress violation: NOVICE
Trace to terminal set of states:
     alice.need.2
     bob.need.2
                                           Novice players
     chris.need.2
                                           dave and eve
     chris.get.2
                                           suffer starvation.
     dave.need.5
                                           They are
     eve.need.5
                                           continually
Cycle in terminal set:
                                           overtaken by
     alice.get.2
                                           experts alice,
     alice.put.2
                                           bob and chris.
Actions in terminal set:
     {alice, bob, chris}.{get, put}[2]
```

### 9.3 Fair Allocation



Allocation in arrival order, using tickets:

```
const TM = 5 // maximum ticket
range T = 1..TM // ticket values
TICKET = NEXT[1],
NEXT[t:T] = (ticket[t]->NEXT[t%TM+1]).
```

### 9.3 Fair Allocation



Allocation in arrival order, using tickets:

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const TM = 5 // maximum ticket
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Players and Allocator:

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### **Fair Allocation - Analysis**



Ticketing increases the size of the model for analysis. We compensate by modifying the HANDICAP constraint:



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```
public class FairAllocator implements Allocator {
  private int available;
  private long turn = 0; // next ticket to be dispensed
  private long next = 0; // next ticket to be served
 public FairAllocator(int n) { available = n; }
```

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## 9.4 Revised Golf Club Program - FairAllocator Moniferent Denma

```
public class FairAllocator implements Allocator {
  private int available;
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 public FairAllocator(int n) { available = n; }
  synchronized public void get(int n)
          throws InterruptedException {
    long myturn = turn; ++turn;
```

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public class FairAllocator implements Allocator {
  private int available;
  private long turn = 0; // next ticket to be dispensed
  private long next = 0; // next ticket to be served
 public FairAllocator(int n) { available = n; }
                                                      Block calling
                                                      thread until
  synchronized public void get(int n)
          throws InterruptedException {
                                                      sufficient balls
    long myturn = turn; ++turn;
    while (n>available || myturn != next) wait(); and next turn.
```

```
public class FairAllocator implements Allocator {
  private int available;
  private long turn = 0; // next ticket to be dispensed
  private long next = 0; // next ticket to be served
 public FairAllocator(int n) { available = n; }
                                                     Block calling
                                                     thread until
  synchronized public void get(int n)
          throws InterruptedException {
                                                     sufficient balls
    long myturn = turn; ++turn;
    while (n>available || myturn != next) wait(); and next turn.
    ++next; available -= n;
    notifyAll();
```

```
public class FairAllocator implements Allocator {
  private int available;
  private long turn = 0; // next ticket to be dispensed
  private long next = 0; // next ticket to be served
 public FairAllocator(int n) { available = n; }
                                                     Block calling
                                                     thread until
  synchronized public void get(int n)
          throws InterruptedException {
                                                     sufficient balls
    long myturn = turn; ++turn;
    while (n>available || myturn != next) wait(); and next turn.
    ++next; available -= n;
    notifyAll();
  synchronized public void put(int n) {
    available += n;
    notifyAll();
}
```

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public class FairAllocator implements Allocator {
  private int available;
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  private long next = 0; // next ticket to be served
 public FairAllocator(int n) { available = n; }
                                                      Block calling
                                                      thread until
  synchronized public void get(int n)
          throws InterruptedException {
                                                      sufficient balls
    long myturn = turn; ++turn;
    while (n>available || myturn != next) wait(); and next turn.
    ++next; available -= n;
    notifyAll();
  synchronized public void put(int n) {
                                                      Why is it
    available += n;
    notifyAll();
                                                      necessary for
                                                      get to include
}
                                                      notifyAll()?
```

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### **Revised Golf Club Program - FairAllocator**





### **Revised Golf Club Program - FairAllocator**





Players **g1** and **h1** are waiting. Even though two balls are available, they cannot overtake player **f4**.

### **Revised Golf Club Program - FairAllocator**







Allocation in arrival order is not efficient. A bounded allocation scheme allows experts to overtake novices but denies starvation by setting an upper bound on the number of times a novice can be overtaken.



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We model players who have overtaken others as a set.



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Allocation in arrival order is not efficient. A bounded allocation scheme allows experts to overtake novices but denies starvation by setting an upper bound on the number of times a novice can be overtaken.

We model players who have overtaken others as a set.

A SET is modeled as the parallel composition of elements

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We model bounded overtaking using tickets, where ticket numbers indicate the order in which players make their requests. The allocator records which ticket number is next.



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Overtaking occurs when we allocate balls to a player whose turn indicated by his/her ticket number - is subsequent to a waiting player with the next ticket. The overtaking player is added to the overtaking set, and a count ot is incremented to indicate the number of times next has been overtaken.



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Overtaking occurs when we allocate balls to a player whose turn indicated by his/her ticket number - is subsequent to a waiting player with the next ticket. The overtaking player is added to the overtaking set, and a count ot is incremented to indicate the number of times next has been overtaken.

When the count equals the bound, we allow allocation to the next player only. When allocation is made to the next player, we update next to indicate the next (waiting) player. We skip the ticket numbers of overtaking players who already received their allocation, remove each of these intervening players from the overtaking set and decrement the overtaking count ot accordingly. (This is achieved in the local process, WHILE, in the ALLOCATOR model.)




```
ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
BALL[b:B][next:T][ot:0..Bd] =
```



```
ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
BALL[b:B][next:T][ot:0..Bd] =
     (when (b>0 && ot<Bd) get[i:1..b][turn:T] ->
```



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ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
BALL[b:B][next:T][ot:0..Bd] =
     (when (b>0 && ot<Bd) get[i:1..b][turn:T] ->
          if (turn!=next) then
                (add[turn] -> BALL[b-i][next][ot+1])
          else
               WHILE[b-i][next%TM+1][ot]
```





```
ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
BALL[b:B][next:T][ot:0..Bd] =
     (when (b>0 && ot<Bd) get[i:1..b][turn:T] ->
          if (turn!=next) then
                (add[turn] -> BALL[b-i][next][ot+1])
          else
               WHILE[b-i][next%TM+1][ot]
     when (b>0 && ot==Bd) get[i:1..b][next] ->
               WHILE [b-i] [next%TM+1] [ot]
     |put[j:1..N] -> BALL[b+j][next][ot]
),
```



```
ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
BALL[b:B][next:T][ot:0..Bd] =
     (when (b>0 && ot<Bd) get[i:1..b][turn:T] ->
          if (turn!=next) then
                (add[turn] \rightarrow BALL[b-i][next][ot+1])
          else
                WHILE[b-i][next%TM+1][ot]
     when (b>0 && ot==Bd) get[i:1..b][next] ->
               WHILE [b-i] [next%TM+1] [ot]
     |put[j:1..N] -> BALL[b+j][next][ot]
),
WHILE[b:B][next:T][ot:0..Bd] =
     (contains[next][yes:Bool] ->
```



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ALLOCATOR = BALL[N][1][0], //initially N balls, 1 is next, empty set
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     (when (b>0 && ot<Bd) get[i:1..b][turn:T] ->
          if (turn!=next) then
                (add[turn] -> BALL[b-i][next][ot+1])
          else
               WHILE[b-i][next%TM+1][ot]
     when (b>0 && ot==Bd) get[i:1..b][next] ->
               WHILE [b-i] [next%TM+1] [ot]
     |put[j:1..N] -> BALL[b+j][next][ot]
),
WHILE[b:B][next:T][ot:0..Bd] =
     (contains[next][yes:Bool] ->
          if (yes) then
            (remove[next] -> WHILE[b][next%TM+1][ot-1])
          else BALL[b][next][ot]
```

### **Bounded Allocation - Allocator Model**



where

const N = 5 // maximum #golf balls const Bd = 2 // bound on overtaking range B = 0..N // available range const TM = N + Bd // maximum ticket range T = 1..TM // ticket values

## **Bounded Allocation - Allocator Model**



where

const N = 5 // maximum #golf balls const Bd = 2 // bound on overtaking range B = 0..N // available range const TM = N + Bd // maximum ticket range T = 1..TM // ticket values



### **Bounded Allocation - An Explanatory Trace**

Experts Eve and Dave



eve.need.4 dave.need.4 chris.need.1 alice.need.1 bob.need.1 alice.ticket.1 alice.get.1.1 contains.2.0 bob.ticket.2 bob.get.1.2 contains.3.0 dave.ticket.3 chris.ticket.4 chris.get.1.4 add.4 eve.ticket.5 alice.put.1 alice.ticket.6 alice.get.1.6 add.6 bob.put.1 bob.ticket.7 bob.get.1.7 add.7

Novices Alice, Bob and Chris Alice gets 1 ball, ticket 1 Ticket 2 is next Two allocated, three available Ticket 3 is next Dave needs four balls: waits Chris overtakes Eve needs four balls: waits Alice overtakes Bob overtakes: bound reached

Using animation, we can perform a scenario and produce a trace.

## **Bounded Allocation - An Explanatory Trace**



chris.put.1 chris.ticket.8	Chris waits: three available
alice.ticket.1	Alice waits: four available
dave.get.4.3	Dave gets four balls
contains.4.1 remove.4	remove intervening overtaker
contains.5.0	Ticket 5 (Eve) is next
dave.put.4	
dave.ticket.2	
alice.get.1. <mark>1</mark>	Alice overtakes: bound reached
add. <mark>1</mark>	
bob.put.1	
bob.ticket.3	
eve.get.4. <mark>5</mark>	Eve gets four balls
contains.6.1	remove intervening overtakers
remove. o	
contains. /.1	
remove./	Tishet 0 (Chais) is seen
contains.8.0	TICKET 8 (Chris) is next
• • •	



### **Bounded Allocation - An Explanatory Trace**



chris.put.1 chris.ticket.8	Chris waits: three available	
alice.put.1 alice.ticket.1 dave.get.4.3 contains.4.1 remove.4	Alice waits: four available Dave gets four balls remove intervening overtaker	Exhaustive checking:
contains.5.0 dave.put.4 dave.ticket.2	Ticket 5 (Eve) is next	Safety?
alice.get.1.1 add.1 bob.put.1 bob.ticket.3	Alice overtakes: bound reached	Liveness?
eve.get.4.5 contains.6.1 remove.6 contains.7.1 remove.7	Eve gets four balls remove intervening overtakers	Can we also specify the bounded nature
contains.8.0 •••	Ticket 8 (Chris) is next	of this allocator as a safety property?



For each player, check that he/she is not overtaken more than bound times. Overtaking is indicated by an allocation to another player whose ticket t lies between the turn of the player and the latest ticket.



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```
property BOUND(P='alice) =
  ([P].ticket[t:T] -> WAITING[t][0]
  |[Players].get[R][T] -> BOUND
  ),
```



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  ),
WAITING[ticket:T][overtaken:0..Bd] =
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  |{Players\{[P]}}.get[b:R][t:T] ->
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  ),
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  ([P].get[b:R][ticket] -> BOUND
  |{Players\{[P]}}.get[b:R][t:T] ->
        if (t>ticket)
        then WAITING[ticket][overtaken+1]
        else WAITING[ticket][overtaken]
```



For each player, check that he/she is not overtaken more than bound times. Overtaking is indicated by an allocation to another player whose ticket t lies between the turn of the player and the latest ticket.

```
property BOUND(P='alice) =
                                                         Action labels used in
    ([P].ticket[t:T] -> WAITING[t][0]
                                                         expressions or as
   [Players].get[R][T]
                                    -> BOUND
                                                         parameter values
                                                         must be prefixed
WAITING[ticket:T][overtaken:0..Bd] =
                                                         with a single quote.
   ([P].get[b:R][ticket] -> BOUND
   |\{Players \setminus \{[P]\}\}.get[b:R][t:T] \rightarrow
         if (t>ticket)
         then WAITING[ticket][overtaken+1]
         else WAITING[ticket][overtaken]
    Players.ticket[last:T] ->WAITING[ticket][overtaken]
```

# 9.6 Bounded Overtaking Allocator - Implementation

Implementation of the BoundedOvertakingAllocator monitor follows the algorithm in the model.

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Novice player **f4** has been overtaken by expert players **g1**, **h1** and **i1**. Since the overtaking bound of three has been exceeded, players **j1** and **k1** are blocked although there are two golf balls available.







A Master thread creates a Slave thread to perform some task (eg. I/O) and continues.





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Later, the Master synchronizes with the Slave to collect the result.





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How can we avoid busy waiting for the Master?





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Later, the Master synchronizes with the Slave to collect the result.

How can we avoid busy waiting for the Master?

Java class Thread provides method join() which waits for the thread to die, i.e., by returning from run() or as a result of stop().







```
class Master implements Runnable {
  ThreadPanel slaveDisplay;
  SlotCanvas resultDisplay;
  Master(ThreadPanel tp, SlotCanvas sc)
    {slaveDisplay=tp; resultDisplay=sc;}
  public void run() {
    try {
      String res=null;
      while(true) {
        while(!ThreadPanel.rotate());
        if (res!=null) resultDisplay.leave(res);
    }
}
```



```
class Master implements Runnable {
  ThreadPanel slaveDisplay;
  SlotCanvas resultDisplay;
                                                          Slave thread is
 Master(ThreadPanel tp, SlotCanvas sc)
                                                          created and
    {slaveDisplay=tp; resultDisplay=sc;}
                                                          started using the
 public void run() {
                                                          ThreadPanel
    try {
                                                          method start.
      String res=null;
      while(true) {
        while (!ThreadPanel.rotate());
        if (res!=null) resultDisplay.leave(res);
        Slave s = new Slave(); // create new slave thread
        Thread st = slaveDisplay.start(s,false);
```



```
class Master implements Runnable {
  ThreadPanel slaveDisplay;
  SlotCanvas resultDisplay;
                                                          Slave thread is
 Master(ThreadPanel tp, SlotCanvas sc)
                                                          created and
    {slaveDisplay=tp; resultDisplay=sc;}
                                                          started using the
 public void run() {
                                                          ThreadPanel
    try {
                                                          method start.
      String res=null;
      while(true) {
        while (!ThreadPanel.rotate());
        if (res!=null) resultDisplay.leave(res);
        Slave s = new Slave(); // create new slave thread
        Thread st = slaveDisplay.start(s,false);
        while (ThreadPanel.rotate()); // continue execution
```



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  ThreadPanel slaveDisplay;
  SlotCanvas resultDisplay;
                                                           Slave thread is
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        Thread st = slaveDisplay.start(s,false);
        while (ThreadPanel.rotate()); // continue execution
                                       // wait for slave termination
        st.join();
```



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        Slave s = new Slave(); // create new slave thread
        Thread st = slaveDisplay.start(s,false);
        while (ThreadPanel.rotate()); // continue execution
                                        // wait for slave termination
        st.join();
        res = String.valueOf(s.result()); //get and display result from slave
        resultDisplay.enter(res);
    } catch (InterruptedException e) { }
```



```
class Slave implements Runnable {
    int rotations = 0;
    public void run() {
        try {
            while (!ThreadPanel.rotate()) ++rotations;
        } catch (InterruptedException e) {}
    }
    int result() {
        return rotations;
    }
} Slave method result need not be
    synchronized to avoid interference with
        the Master thread. Why not?
```

#### 9.8 Master-Slave Model




#### 9.8 Master-Slave Model









Concepts: dynamic creation and deletion of processes Resource allocation example - varying number of users and resources. master-slave interaction







Concepts:	dynamic creation and deletion of processes Resource allocation example – varying number of users and resources. master-slave interaction
Models:	static - fixed populations with cyclic behavior
	interaction
Practice:	dynamic creation and deletion of threads (# active threads varies during execution) Resource allocation algorithms Java join() method