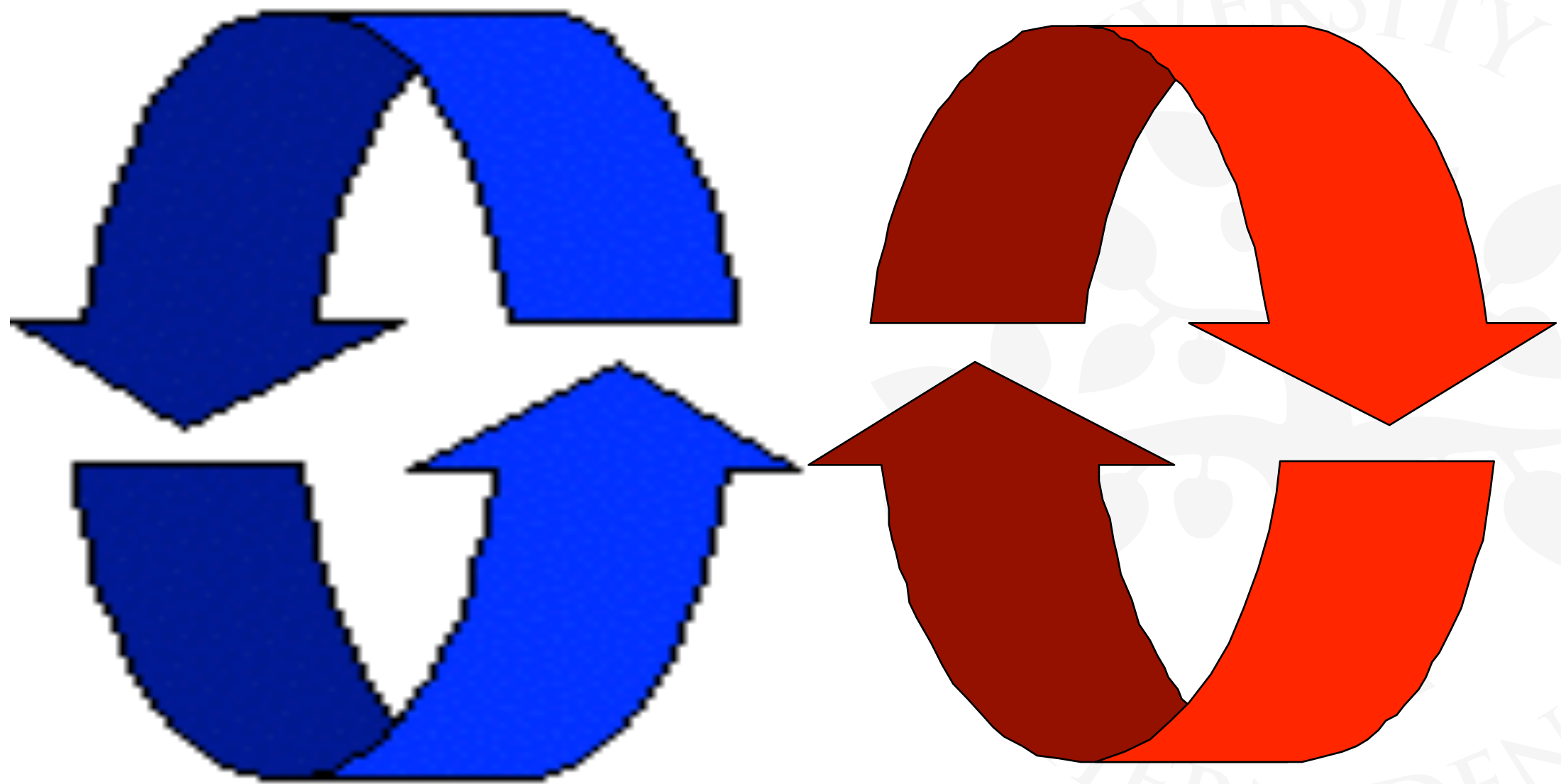


Chapter 3 Concurrent Execution



◆ Concepts:

- We adopt a **model-based approach** for the design and construction of concurrent programs
 - ◆ Safe model \Rightarrow safe program

◆ Models:

- We use finite state models to represent concurrent behaviour
(**Finite State Processes and Labelled Transition Systems**)

◆ Practice:

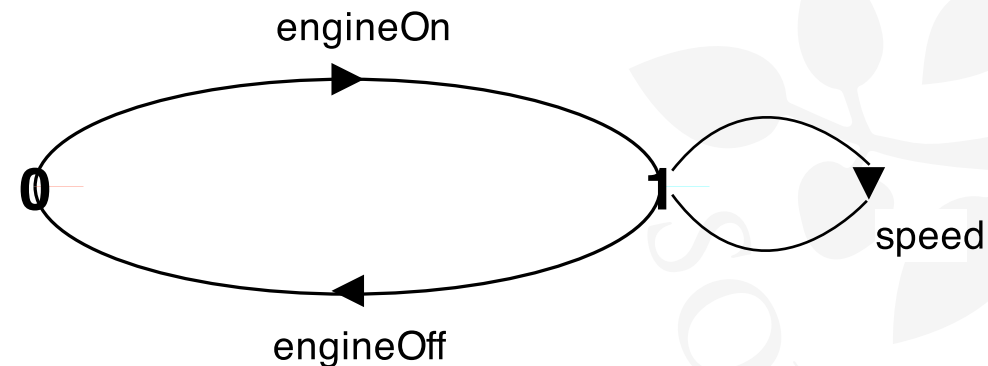
- We use **Java** for constructing concurrent programs

Repetition (Models; Lts, Fsp)

Model = simplified representation of the real world

◆ Based on Labelled Transition Systems (**LTS**):

Focuses on **concurrency** aspects (of the program)
- everything else abstracted away



◆ Described textually as **Finite State Processes**

(**FSP**):

```
EngineOff = (engineOn -> EngineOn) ,  
EngineOn  = (engineOff -> EngineOff  
             | speed    -> EngineOn) .
```



Repetition (Finite State Processes; Fsp)

Finite State Processes (FSP):

P	:	<u>STOP</u>	// termination
	:	(x -> P)	// action prefix
	:	(<u>when</u> (...) x -> P)	// guard
	:	P P'	// choice
	:	P +{ ... }	// alphabet extension
	:	X	// process variable

- ◆ action indexing
- ◆ process parameters
- ◆ constant definitions
- ◆ range definitions

$x[i:1..N] \rightarrow P$ or $x[i] \rightarrow P$

$P(N=3) = \dots$

const N = 3

range R = 0..N

**Which constructions do not add expressive power?
(and are thus only "syntactic sugar").**



Repetition (Java Threads)

Subclassing `java.lang.Thread`:

```
class MyThread extends Thread {  
    public void run() {  
        // ...  
    }  
}
```

```
Thread t = new MyThread();  
t.start();  
// ...
```

Implementing `java.lang.Runnable`:

```
class MyRun implements Runnable {  
    public void run() {  
        // ...  
    }  
}
```

```
Thread t = new Thread(new MyRun());  
t.start();  
// ...
```

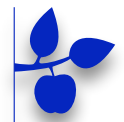


Chapter 3: Concurrent Execution

Concepts: processes - concurrent execution
and interleaving
process interaction

Models: **parallel composition** of asynchronous processes
interleaving
interaction - shared actions
process labelling, and action relabelling and hiding
structure diagrams

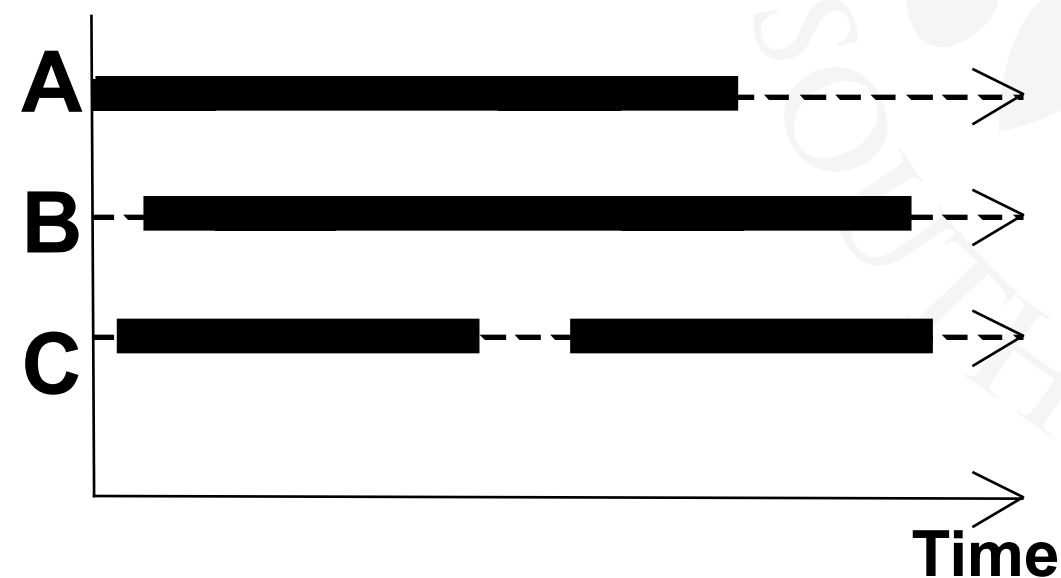
Practice: Multithreaded Java programs



Definition: Parallelism

◆ Parallelism (aka. Real/True Concurrent Execution)

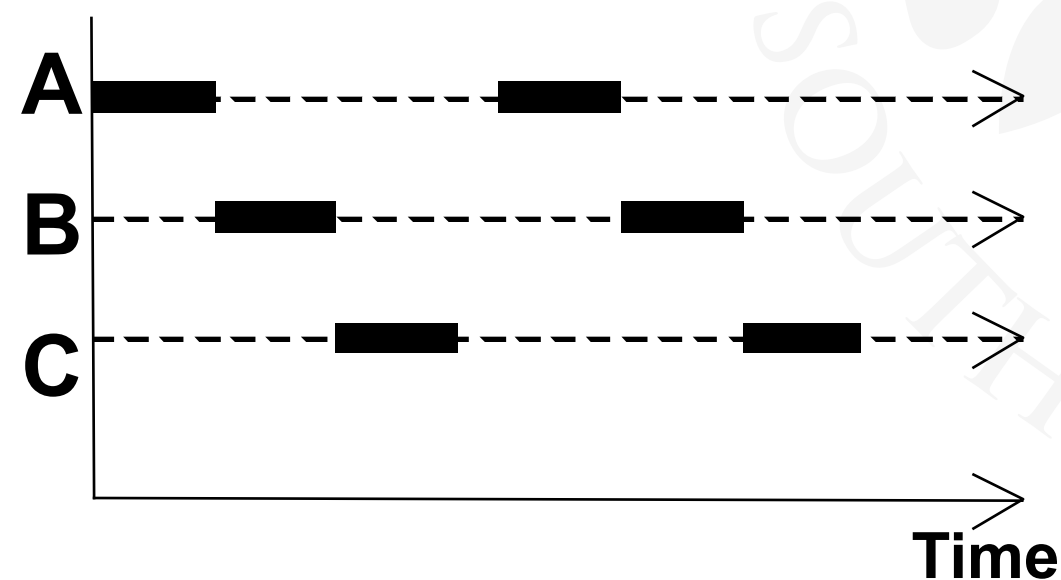
- Physically simultaneous processing
 - ◆ Involves multiple processing elements (PEs) and/or independent device operations



Definition: Concurrency

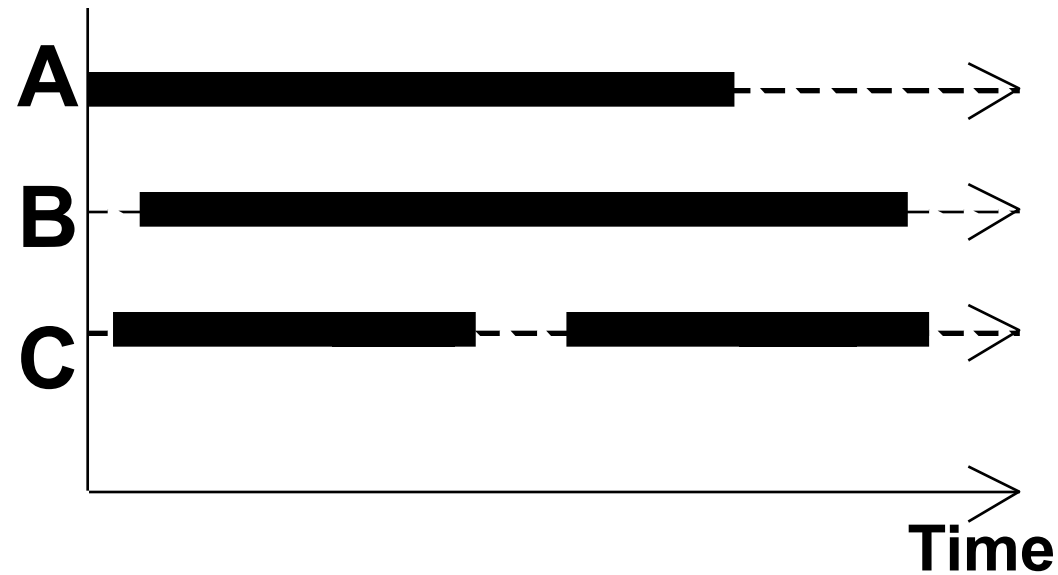
◆ Concurrency (aka. Pseudo-Concurrent Execution)

- Logically simultaneous processing
 - ◆ Does not imply multiple processing elements (PEs)
 - ◆ Requires interleaved execution on a single PE

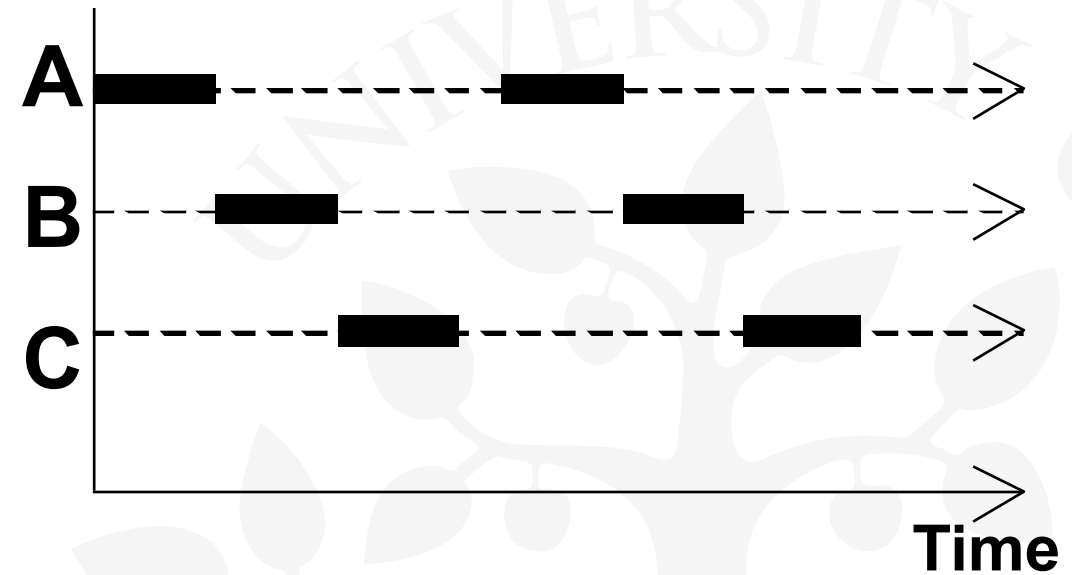


Parallelism vs Concurrency

◆ Parallelism



◆ Concurrency



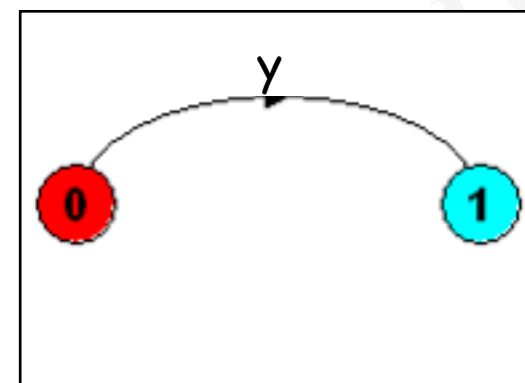
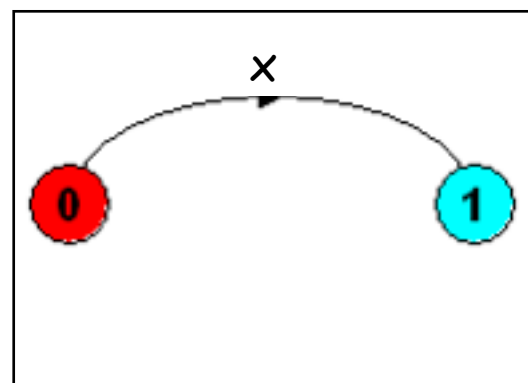
Both **concurrency** and **parallelism** require controlled access to shared resources.

We use the terms parallel and concurrent interchangeably (and generally do not distinguish between real and pseudo-concurrent execution).

Also, creating software independent of the physical setup, makes us capable of deploying it on any platform.

3.1 Modelling Concurrency

◆ How do we model concurrency?



Possible execution sequences?

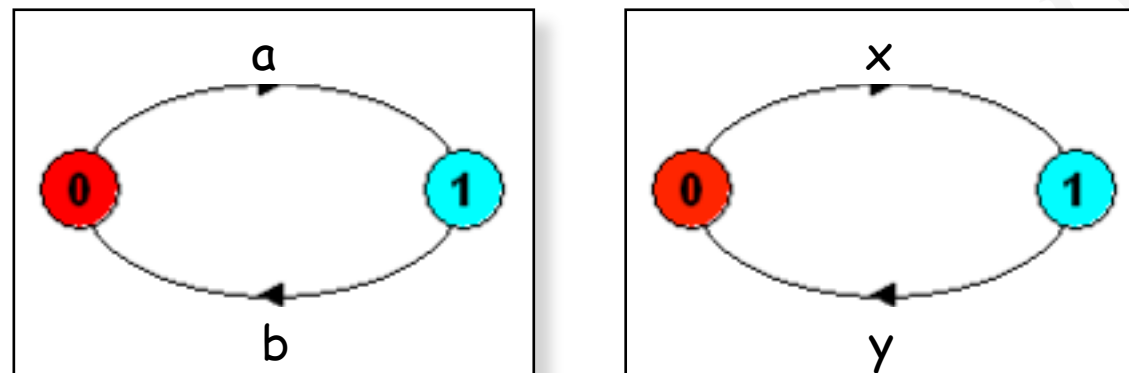
- $x ; y$
- $y ; x$
- ~~• $x \parallel y$~~

Asynchronous
model of execution

- Arbitrary relative order of actions from different processes (**interleaving** but preservation of each process order)

3.1 Modelling Concurrency

- ◆ How should we model process execution speed?



- We choose to abstract away time:

- ◆ Arbitrary speed!

-: we can say nothing of real-time properties

+: independent of architecture, processor speed, scheduling policies, ...



Parallel Composition - Action Interleaving

If P and Q are processes then $(P||Q)$ represents the concurrent execution of P and Q . The operator $'||'$ is the parallel composition operator.

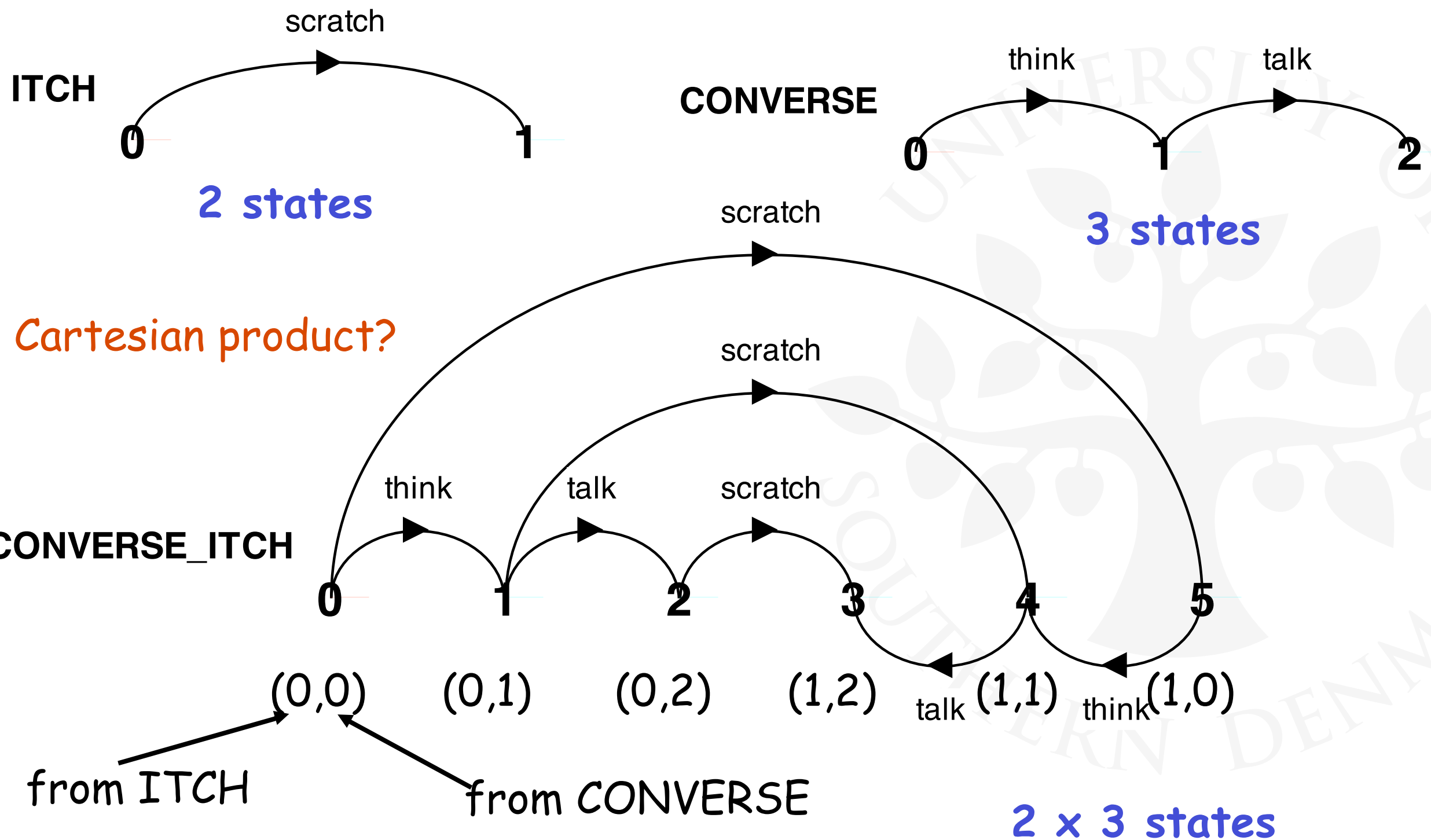
```
ITCH      = (scratch->STOP) .  
CONVERSE = (think->talk->STOP) .  
  
||CONVERSE_ITCH = (ITCH || CONVERSE) .
```

Possible traces as a result of action interleaving?

- `scratch`→`think`→`talk`
- `think`→`scratch`→`talk`
- `think`→`talk`→`scratch`



Parallel Composition - Action Interleaving





Parallel Composition - Algebraic Laws

Commutative: $(P \parallel Q) = (Q \parallel P)$

Associative: $(P \parallel (Q \parallel R)) = ((P \parallel Q) \parallel R)$
 $= (P \parallel Q \parallel R).$

Small example:

```
MALTHE = (climbTree->fall->MALTHE) .
```

```
OSKAR = (run->jump->OSKAR) .
```

```
||MALTHE_OSKAR = (MALTHE || OSKAR) .
```

LTS? Traces? Number of states?



Modelling Interaction - Shared Actions

```
MAKE1 = (make->ready->STOP) .  
USE1   = (ready->use->STOP) .  
  
||MAKE1_USE1 = (MAKE1 || USE1) .
```

MAKE1
synchronises
with USE1 when
ready.

LTS? Traces? Number of states?

◆ Shared Actions:

If processes in a composition have actions in common, these actions are said to be **shared**.

Shared actions are the way that process interaction is modelled. While unshared actions may be arbitrarily interleaved, a shared action **must be executed at the same time by all processes** that participate in the shared action.

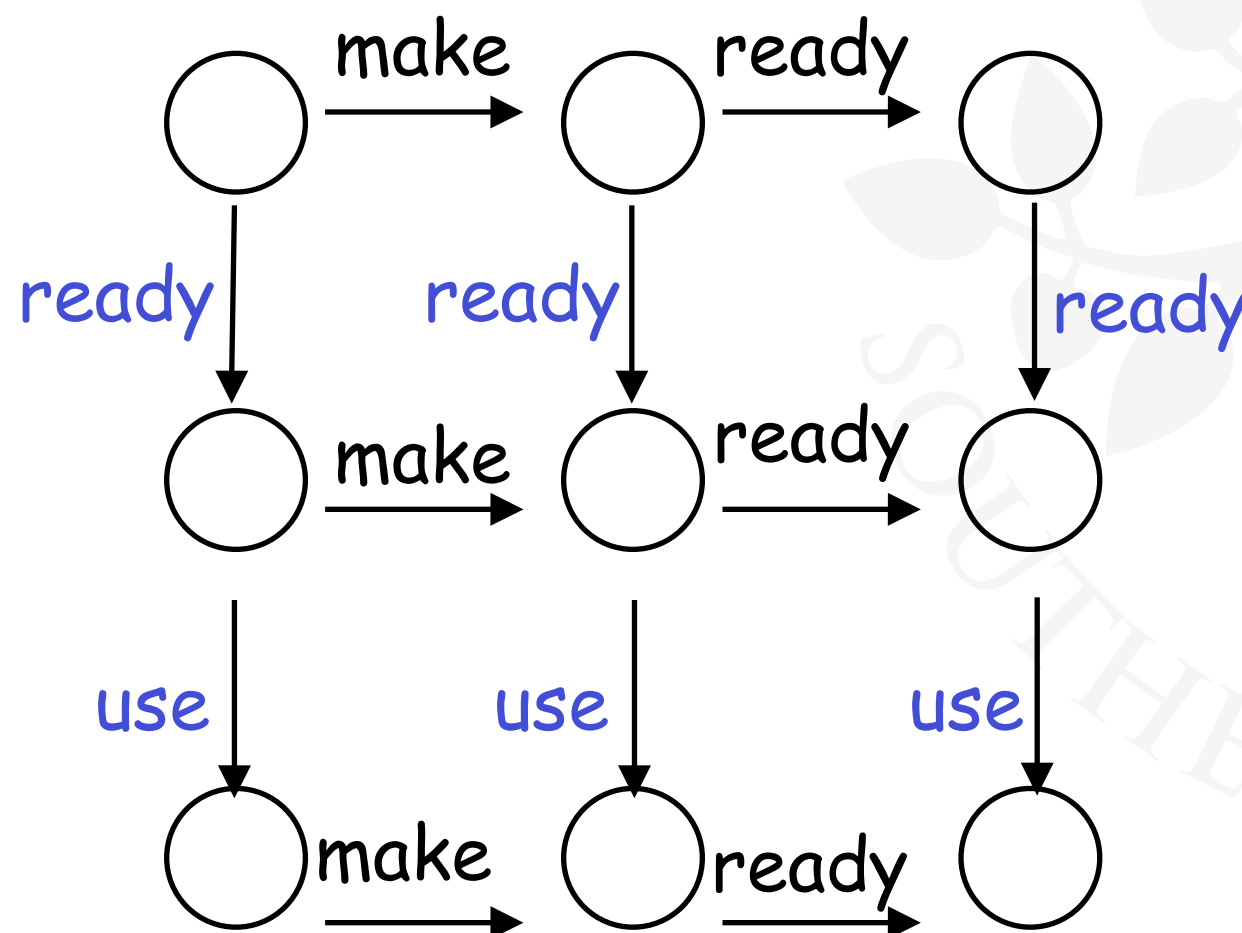


Modelling Interaction - Example

```
MAKE1 = (make->ready->STOP) .  
USE1  = (ready->use->STOP) .  
||MAKE1_USE1 = (MAKE1 || USE1) .
```

3 states

3 states



3 x 3 states?

No...!



Modelling Interaction - Example

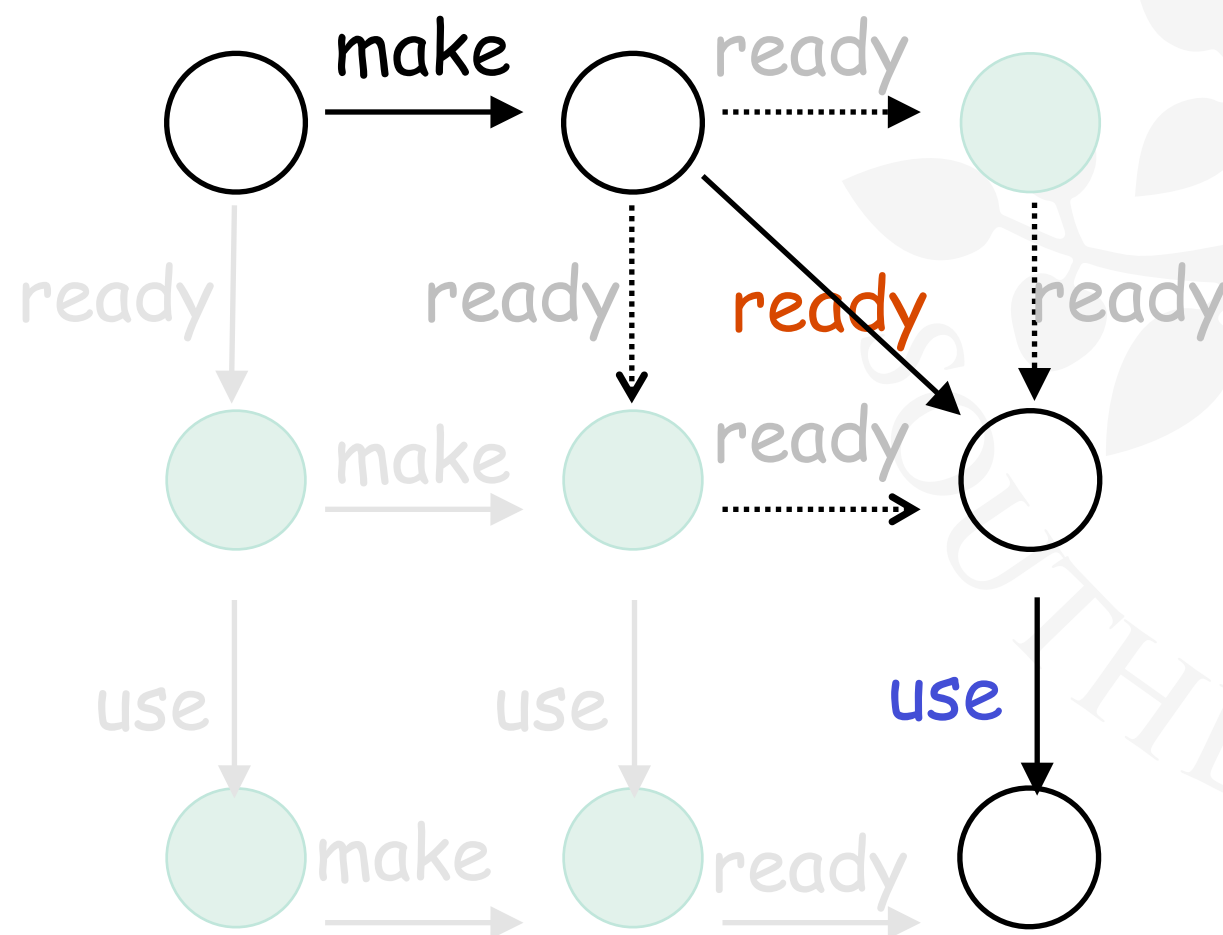
MAKE1 = (make->*ready*->STOP) .

USE1 = (*ready*->use->STOP) .

||MAKE1_USE1 = (MAKE1 || USE1) .

3 states

3 states



4 states!

Interaction may constrain the overall behaviour !



Example

$$P = (x \rightarrow y \rightarrow P) .$$
$$Q = (y \rightarrow x \rightarrow Q) .$$
$$P \parallel Q = (P \parallel Q) .$$

2 states

2 states

LTS? Traces? Number of states?

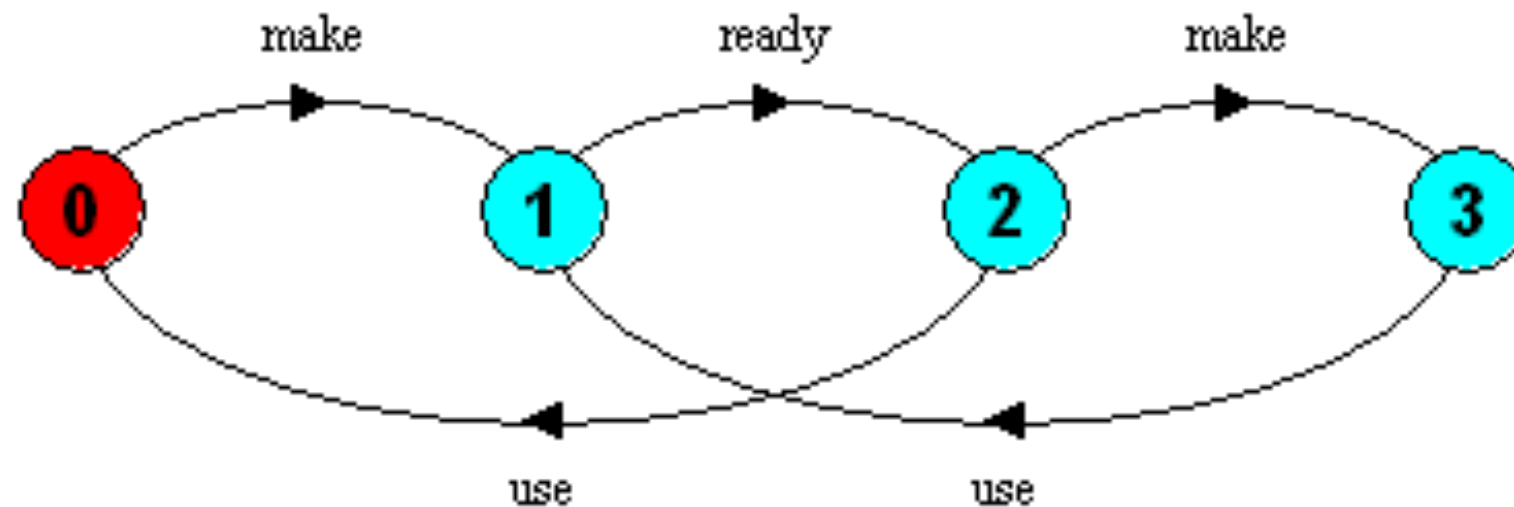
$$P = (a \rightarrow P \mid b \rightarrow P) .$$
$$Q = (c \rightarrow Q) + \{a\} .$$
$$P \parallel Q = (P \parallel Q) .$$

LTS? Traces?

Modelling Interaction - Example

```
MAKER = (make->ready->MAKER) .  
USER  = (ready->use->USER) .  
|| MAKER_USER = (MAKER || USER) .
```

LTS? Traces?

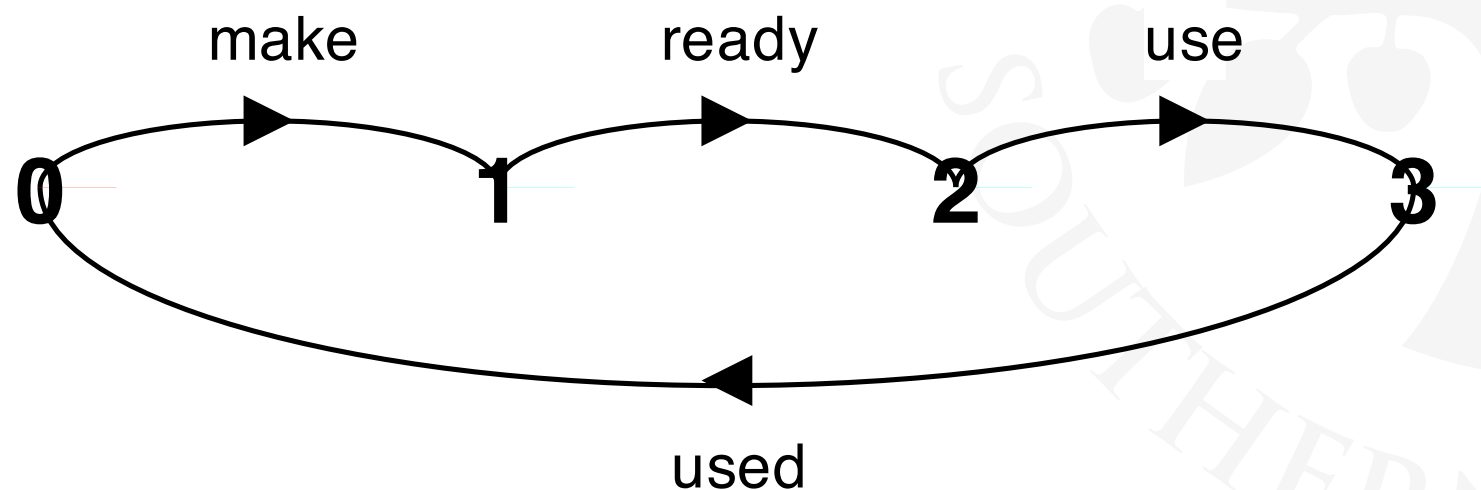


Can we make sure the **MAKER** does not “get ahead of” the **USER** (i.e. never make before use); and if so, how?

Modelling Interaction - Handshake

A handshake is an action acknowledged by another process:

```
MAKERv2 = (make->ready->used->MAKERv2) .  
USERv2   = (ready->use->used->USERv2) .  
||MAKER_USERv2 = (MAKERv2 || USERv2) .
```

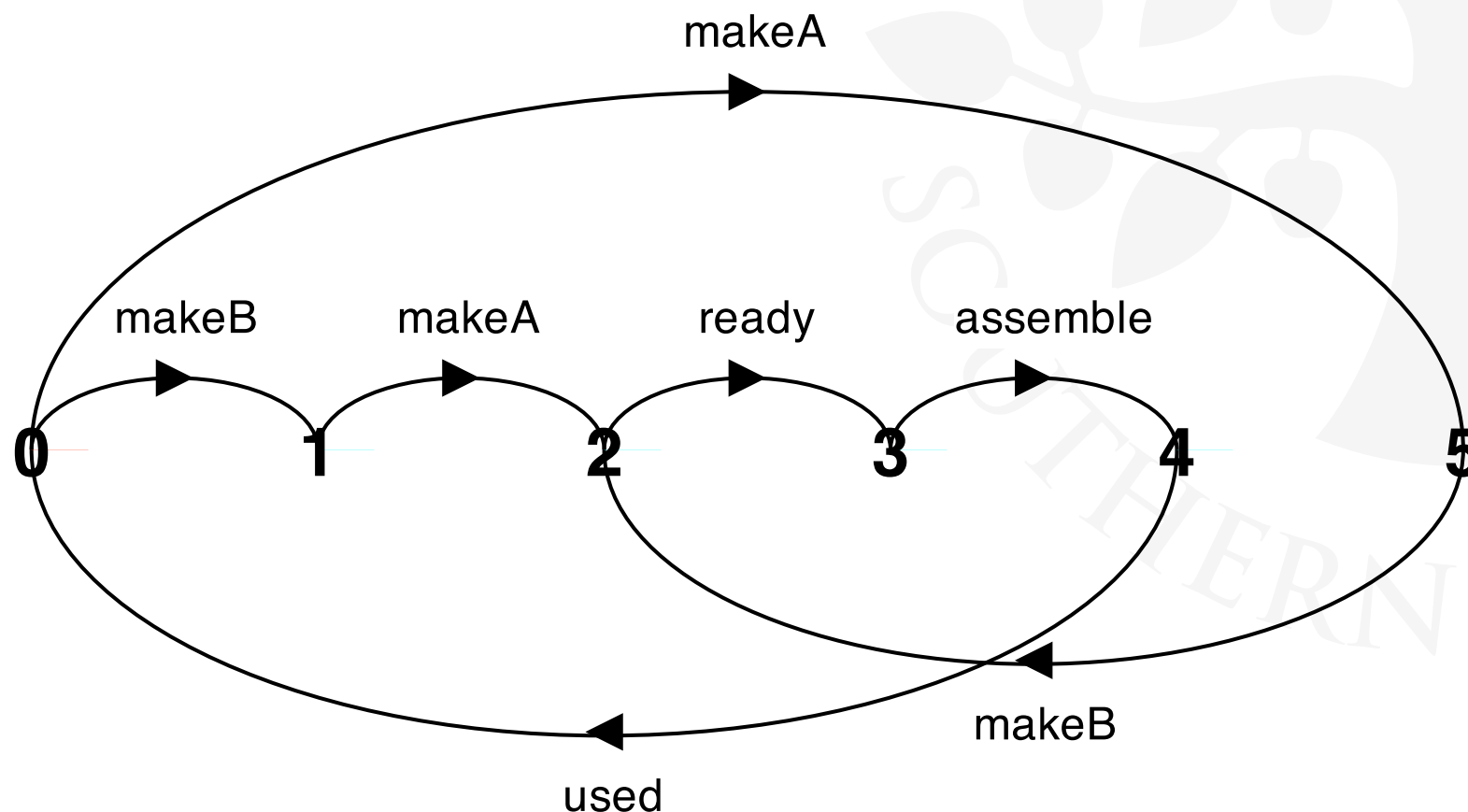




Modelling Interaction - Multiple Processes

Multi-party synchronisation:

```
MAKE_A    = (makeA->ready->used->MAKE_A) .  
MAKE_B    = (makeB->ready->used->MAKE_B) .  
ASSEMBLE  = (ready->assemble->used->ASSEMBLE) .  
  
||FACTORY = (MAKE_A || MAKE_B || ASSEMBLE) .
```





Composite Processes

A composite process is a parallel composition of primitive processes. These composite processes can be used in the definition of further compositions.

```
|| MAKERS = (MAKE_A || MAKE_B) .  
|| FACTORY = (MAKERS || ASSEMBLE) .
```

↓ substitution of
def'n of MAKERS

```
|| FACTORY = (MAKE_A || MAKE_B || ASSEMBLE) .
```

Further simplification?

↓ associativity!

```
|| FACTORY = (MAKE_A || MAKE_B || ASSEMBLE) .
```

Process Labelling

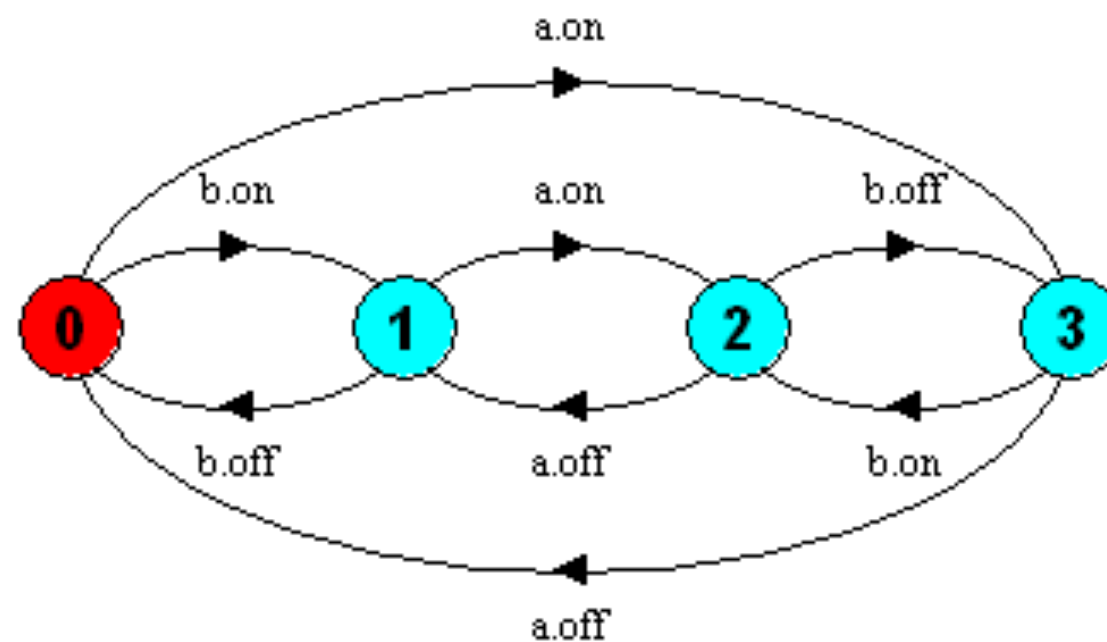
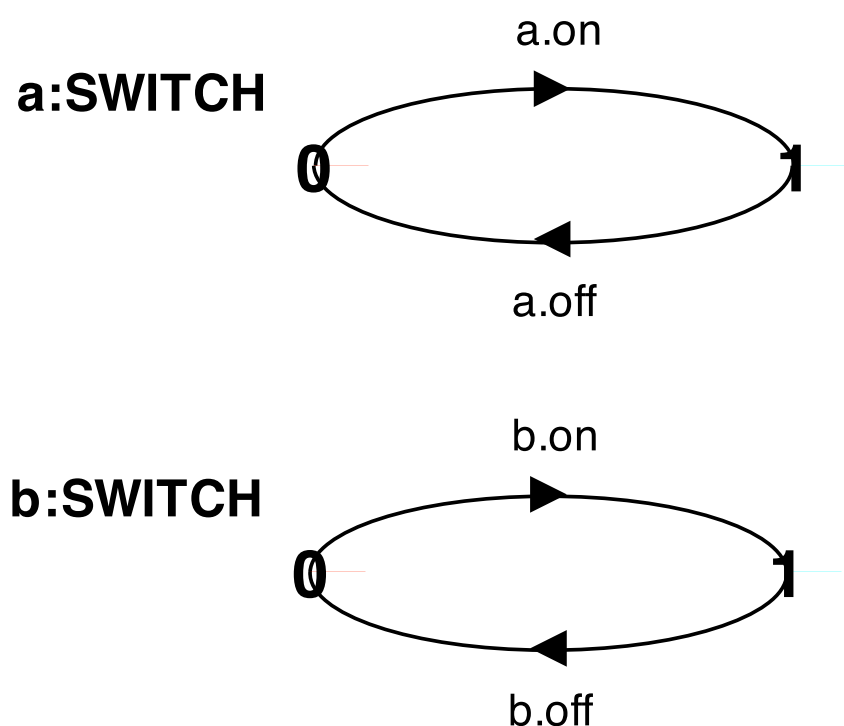
$a:P$ prefixes each action label in the alphabet of P with a .

Two **instances** of a switch process:

$\text{SWITCH} = (\text{on} \rightarrow \text{off} \rightarrow \text{SWITCH}) .$

LTS? ($a:\text{SWITCH}$)

$|| \text{TWO_SWITCH} = (a:\text{SWITCH} || b:\text{SWITCH}) .$





Process Labelling

$a:P$ prefixes each action label in the alphabet of P with a .

Two **instances** of a switch process:

```
SWITCH = (on->off->SWITCH) .
```

```
|| TWO_SWITCH = (a:SWITCH || b:SWITCH) .
```

Create an array of **instances** of the switch process:

```
|| SWITCHES (N=3) = (forall[i:1..N] s[i]:SWITCH) .
```

```
|| SWITCHES (N=3) = (s[i:1..N]:SWITCH) .
```




Process Labelling By A Set Of Prefix Labels

$\{a_1, \dots, a_n\} :: P$ replaces every action label x in the alphabet of P with the labels $a_1.x, \dots, a_n.x$.

Further, every transition $(x \rightarrow X)$ in the definition of P is replaced with the transitions $(\{a_1.x, \dots, a_n.x\} \rightarrow X)$.

Process prefixing is useful for modelling **shared** resources:

USER = (**acquire** \rightarrow use \rightarrow **release** \rightarrow **USER**) .

RESOURCE = (**acquire** \rightarrow **release** \rightarrow **RESOURCE**) .

RESOURCE_SHARE = (**a** : **USER** || **b** : **USER** || $\{a, b\} ::$ **RESOURCE**) .

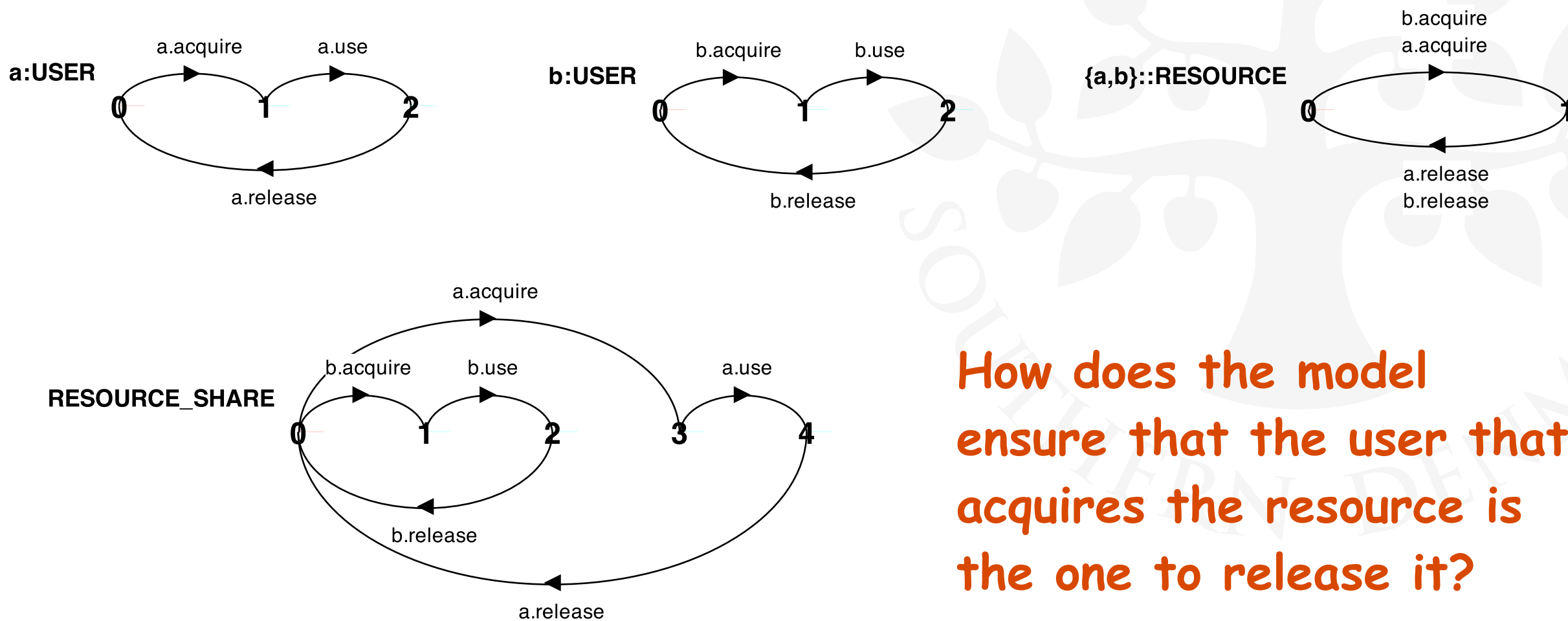


Process Prefix Labels For Shared Resources

RESOURCE = (acquire->release->RESOURCE) .

USER = (acquire->use->release->USER) .

|| RESOURCE_SHARE = (a:USER || b:USER || {a,b}::RESOURCE) .

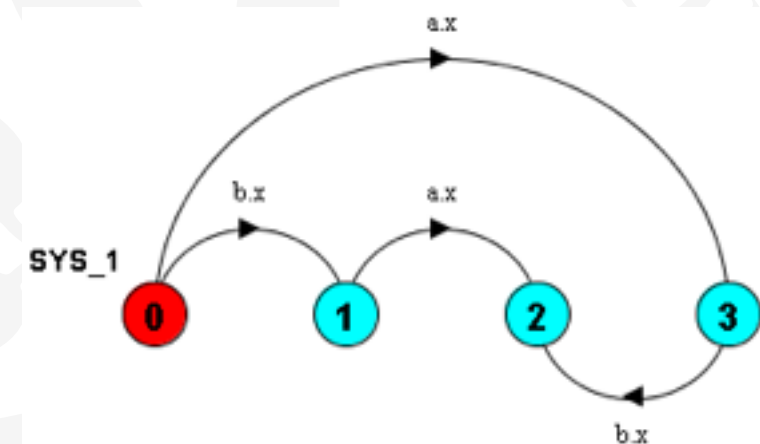


How does the model ensure that the user that acquires the resource is the one to release it?

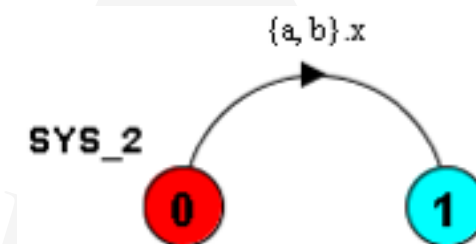
Example

$$X = (x \rightarrow \text{STOP}) .$$
$$|| \text{SYS}_1 = \{a, b\} : X.$$

LTS? Traces? Number of states?
 $\{a, \dots\} : X$ creates one process per prefix


$$|| \text{SYS}_2 = \{a, b\} :: X.$$

LTS? Traces? Number of states?
 $\{a, \dots\} :: X$ creates one process with all prefixes





Action Relabelling

Relabelling functions are applied to processes to change the names of action labels. The general form of the relabelling function is:

$$/\{\text{newlabel}_1/\text{oldlabel}_1, \dots \text{newlabel}_n/\text{oldlabel}_n\}.$$

Relabelling to ensure that composed processes synchronise on particular actions:

```
CLIENT = (call->wait->continue->CLIENT) .
```

```
SERVER = (request->service->reply->SERVER) .
```



Action Relabelling

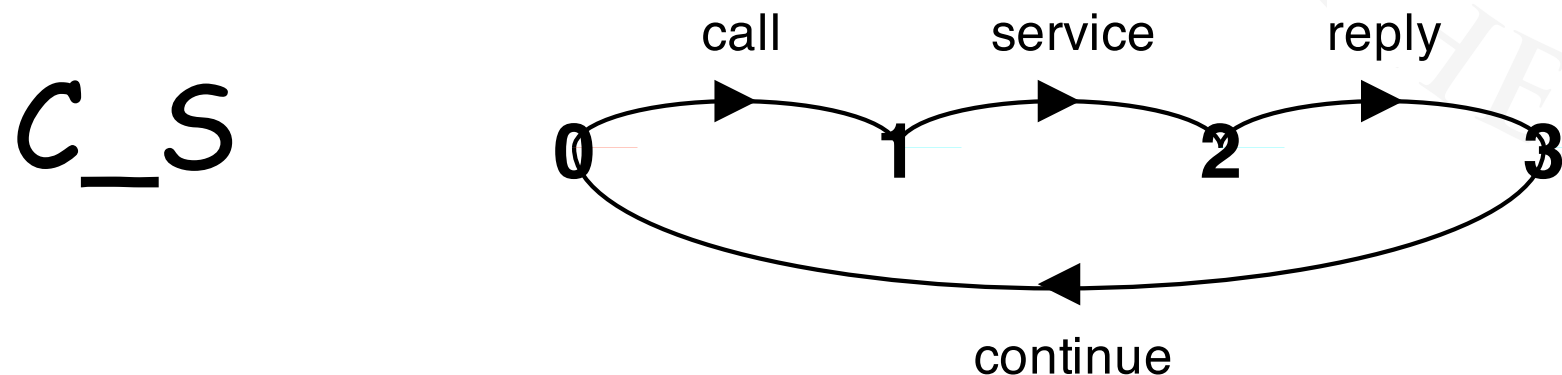
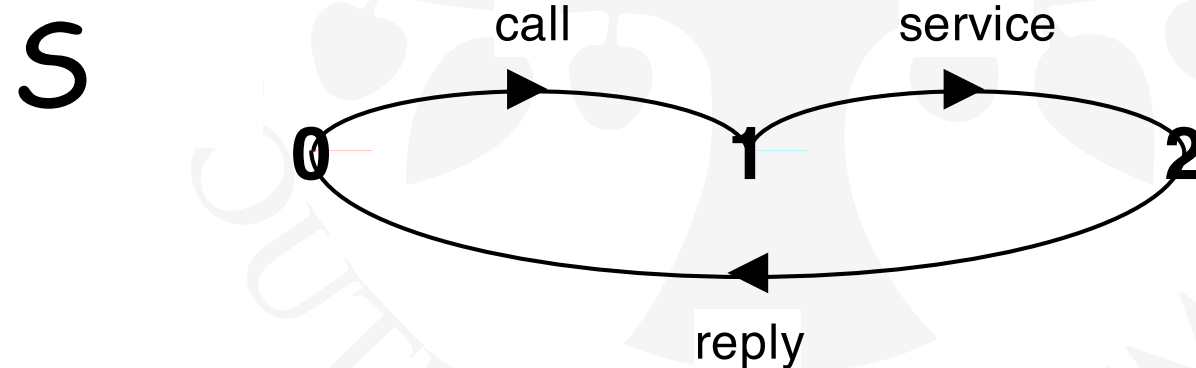
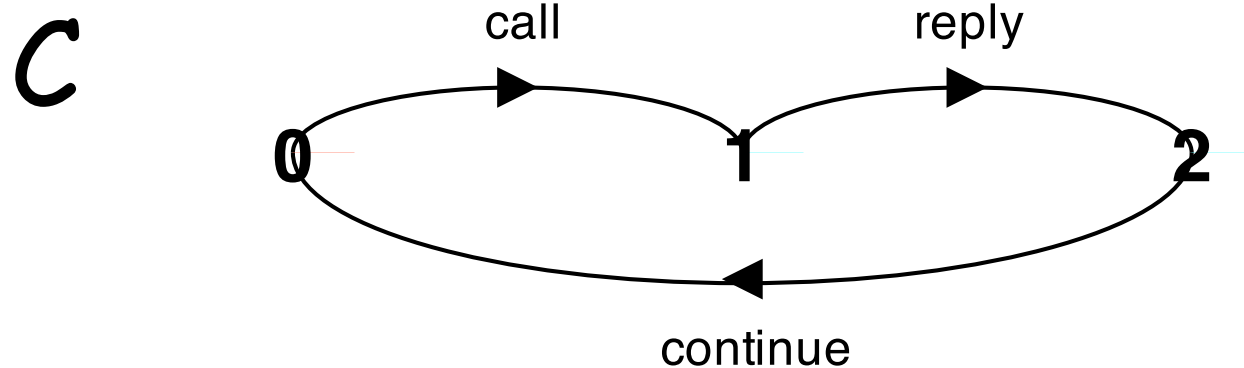
```
CLIENT = (call->wait->continue->CLIENT) .
```

```
SERVER = (request->service->reply->SERVER) .
```

```
C = (CLIENT /{reply/wait}).
```

```
S = (SERVER /{call/request}).
```

```
||C_S = (C || S) .
```





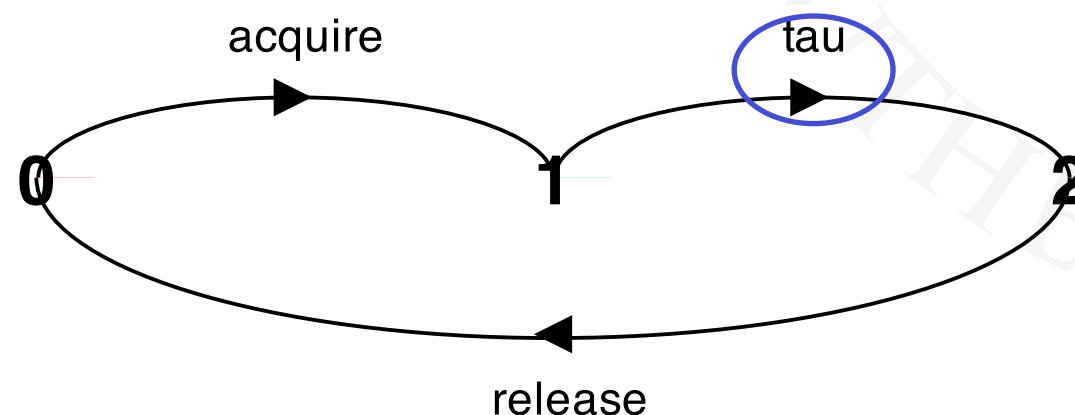
Action Relabelling - Prefix Labels

An alternative formulation of the client server system is described below using qualified or prefixed labels:

```
SERVERv2 = (accept.request  
            ->service->accept.reply->SERVERv2) .  
  
CLIENTv2 = (call.request  
            ->call.reply->continue->CLIENTv2) .  
  
||CLIENT_SERVERv2 = (CLIENTv2 || SERVERv2)  
                    /{call/accept} .
```

When applied to a process P , the hiding operator $\backslash\{a_1, \dots, a_x\}$ removes the action names $a_1..a_x$ from the alphabet of P and makes these concealed actions "silent".
These silent actions are labelled **tau**.
Silent actions in different processes are not shared.

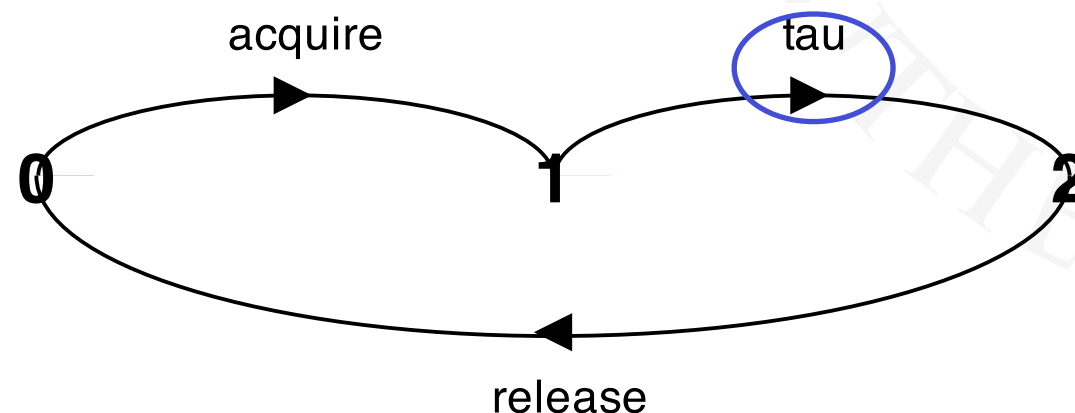
```
USER = (acquire->use->release->USER)
      \{use}.
```



Sometimes it is more convenient to specify the set of labels to be **exposed**....

When applied to a process P , the interface operator $@\{a_1, \dots, a_x\}$ hides all actions in the alphabet of P not labelled in the set $a_1..a_x$.

$USER = (acquire \rightarrow use \rightarrow release \rightarrow USER)$
 $@\{acquire, release\}.$

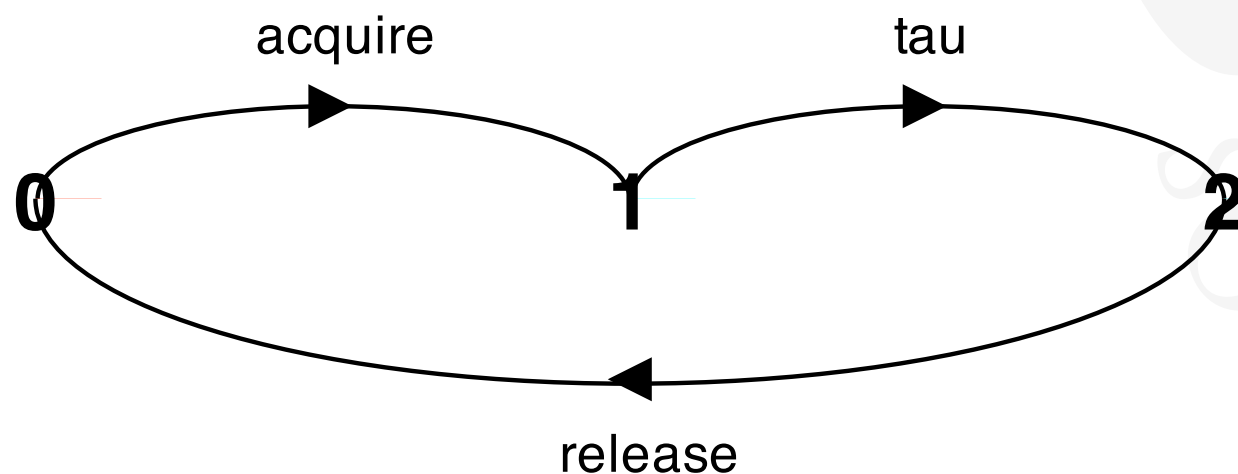


Action Hiding

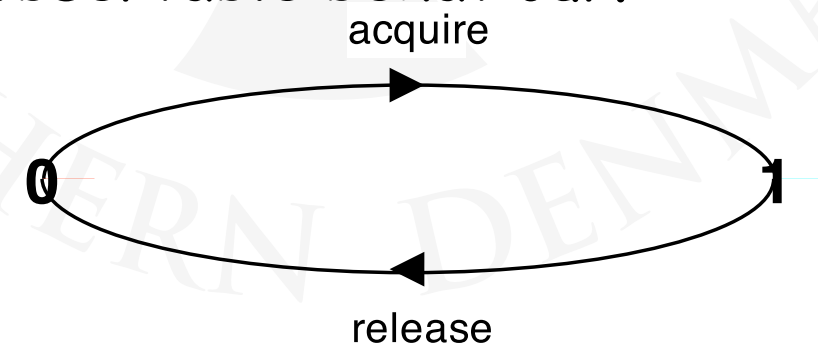
The following definitions are equivalent:

```
USER = (acquire->use->release->USER)
      \{use}.
```

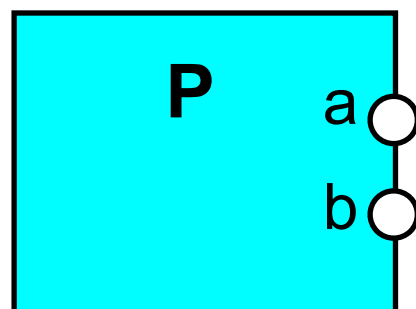
```
USER = (acquire->use->release->USER)
      @{acquire, release}.
```



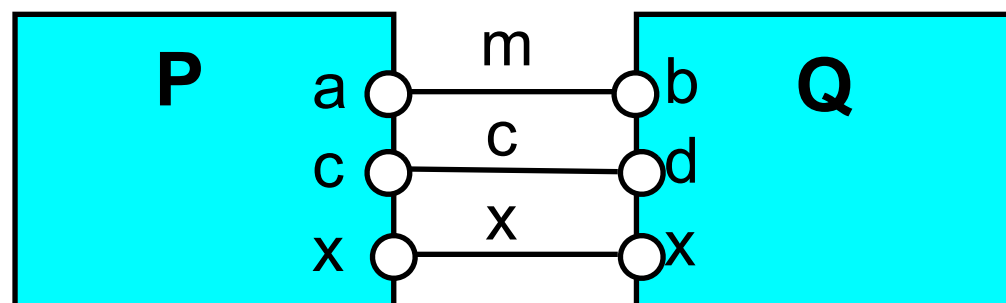
Minimisation removes hidden tau actions to produce an LTS with equivalent observable behaviour.



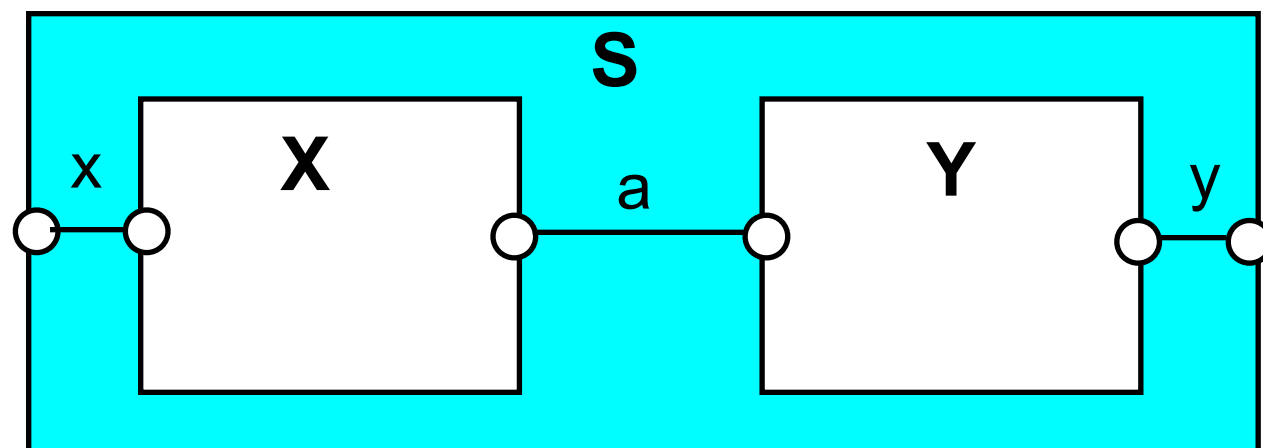
Structure Diagrams



Process P with
alphabet {a,b}.



Parallel Composition
 $(P || Q) / \{m/a, m/b, c/d\}$

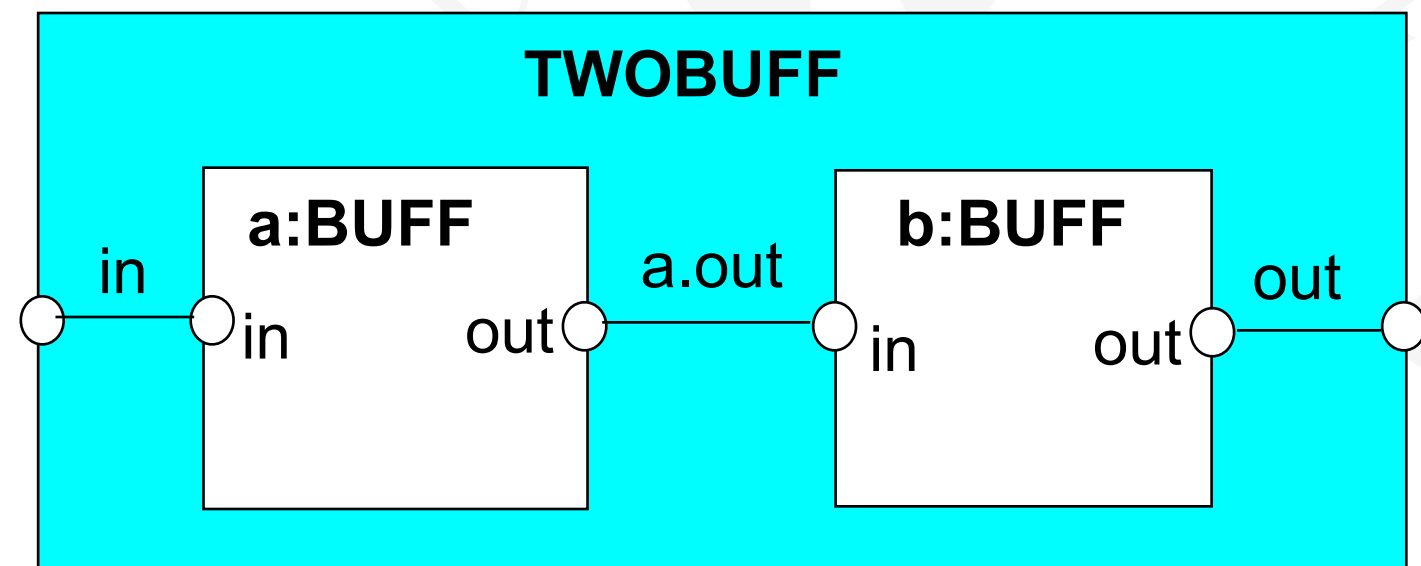


Composite process
 $||S = (X || Y) @ \{x, y\}$

Structure Diagrams

```
range T = 0..3  
BUFF = (in[i:T]->out[i]->BUFF) .
```

We use structure diagrams to capture the structure of a model expressed by the static combinators: parallel composition, relabelling and hiding.



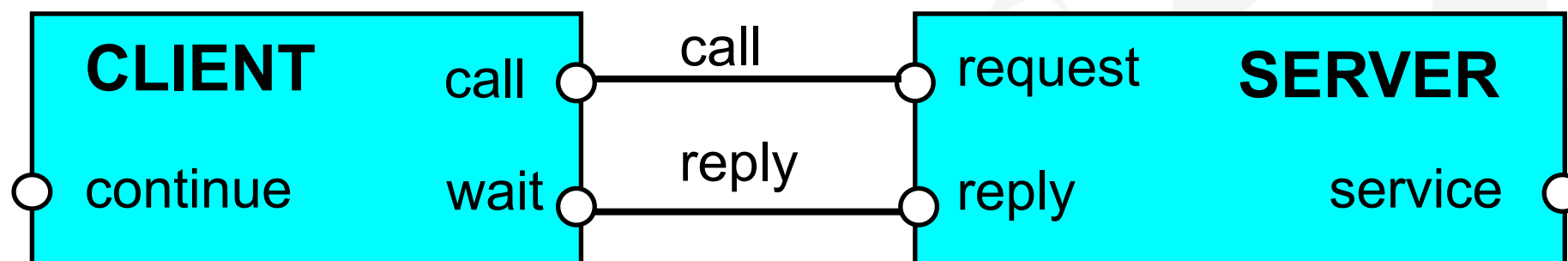
```
||TWOBUFF = (a:BUFF || b:BUFF)  
           /{in/a.in, a.out/b.in, out/b.out}  
           @{in,out} .
```



Structure Diagrams

```
CLIENT = (call->wait->continue->CLIENT) .  
SERVER = (request->service->reply->SERVER) .  
  
||CLIENT_SERVER = (CLIENT||SERVER)  
                    /{reply/wait,  
                    call/request} .
```

Structure diagram for CLIENT_SERVER ?

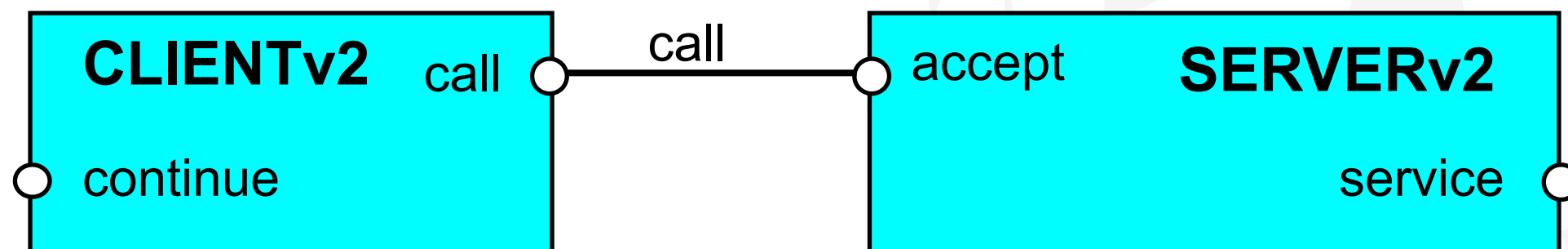




Structure Diagrams

```
SERVERv2 = (accept.request  
            ->service->accept.reply->SERVERv2) .  
CLIENTv2 = (call.request  
            ->call.reply->continue->CLIENTv2) .  
||CLIENT_SERVERv2 = (CLIENTv2 || SERVERv2)  
                    /{call/accept} .
```

Structure diagram for CLIENT_SERVERv2 ?



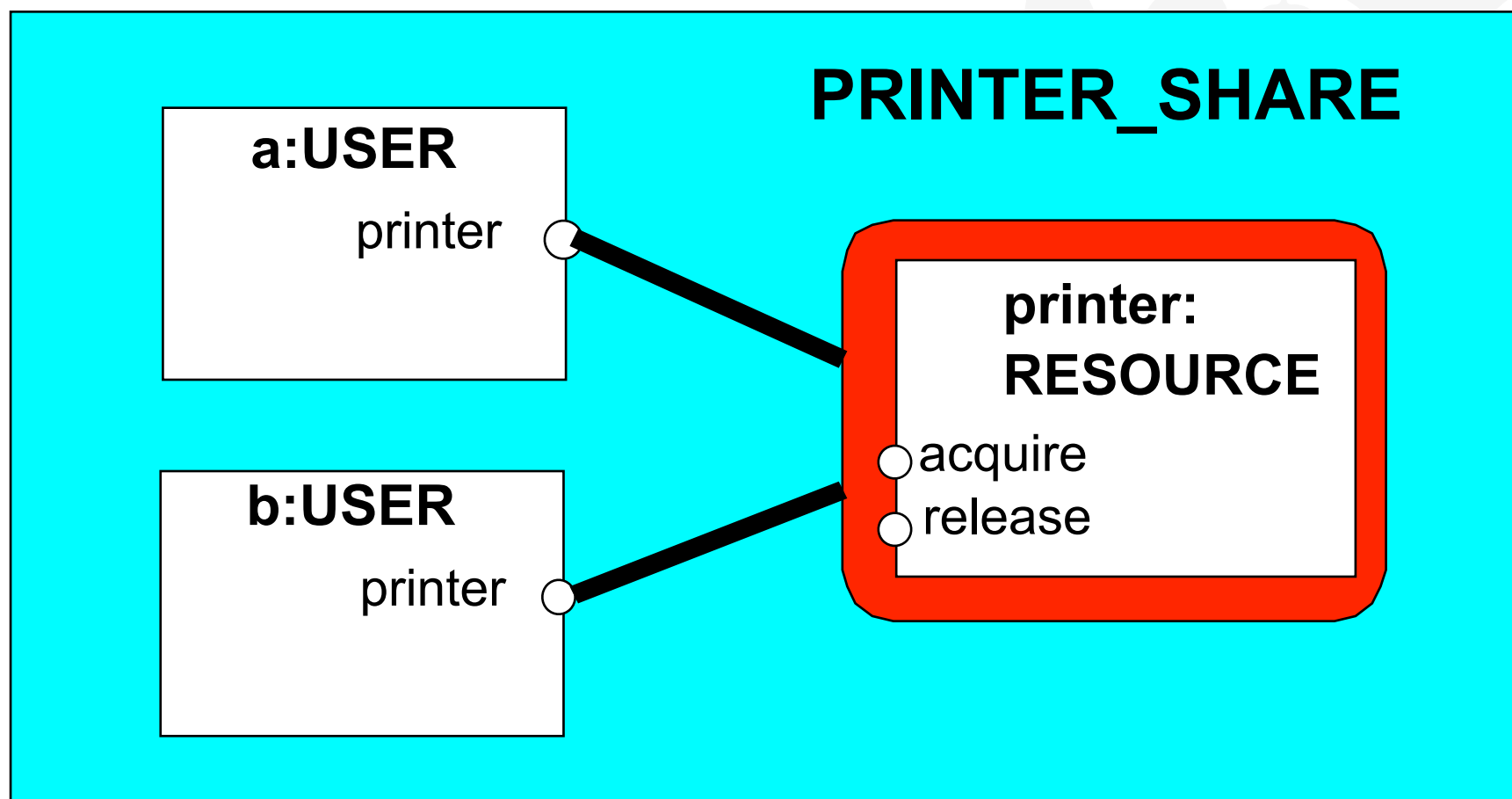
Simply use the shared prefix.

Structure Diagrams - Resource Sharing

```
RESOURCE = (acquire->release->RESOURCE) .  
USER      = (printer.acquire->use->printer.release->USER) .
```

```
|| PRINTER_SHARE =  
  (a:USER || b:USER || {a,b}::printer:RESOURCE) .
```

Shared resources are shown as "rounded rectangles":





Java



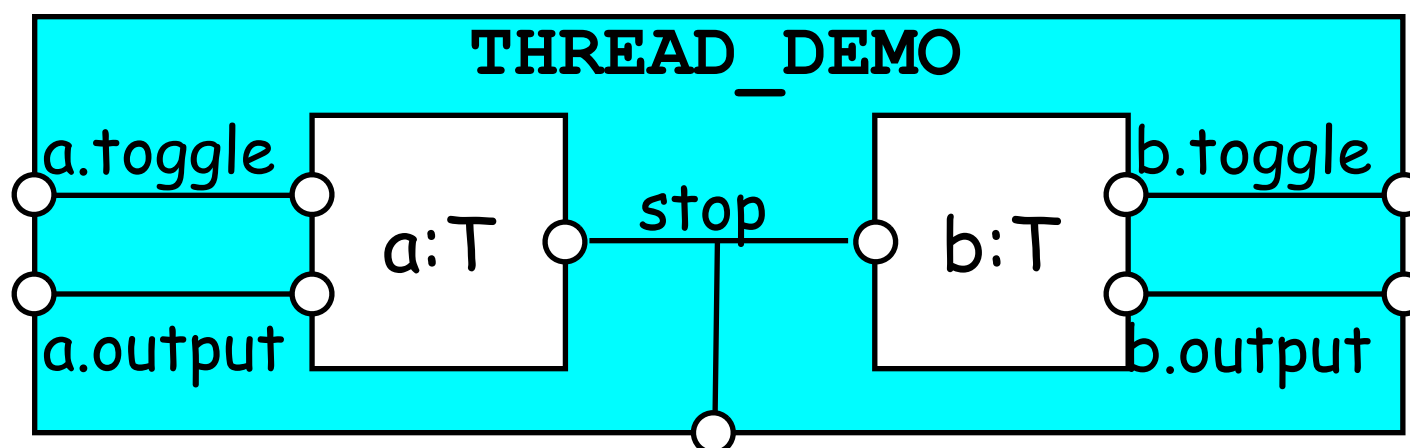
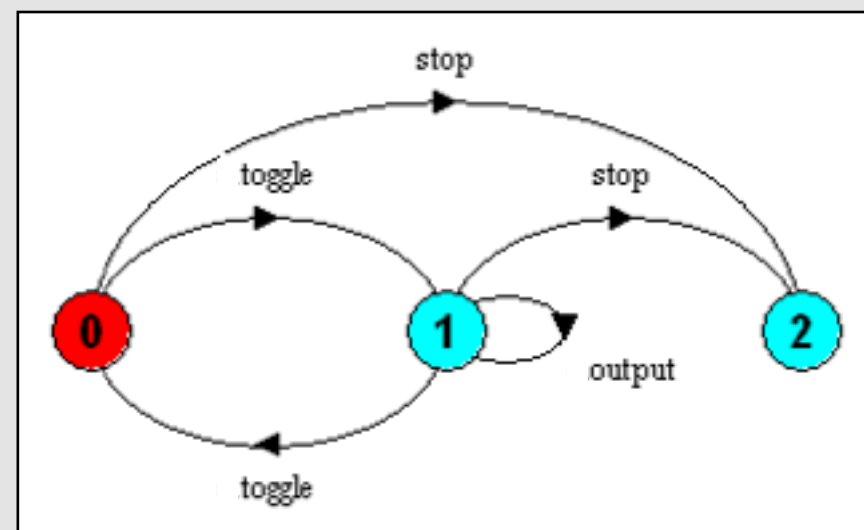
Threaddemo Model

```
THREAD = OFF,
```

```
OFF = (toggle->ON
      | abort->STOP),
```

```
ON = (toggle->OFF
      | output->ON
      | abort->STOP).
```

```
|| THREAD_DEMO =
   (a:THREAD || b:THREAD)
   / {stop/{a,b}.abort}.
```



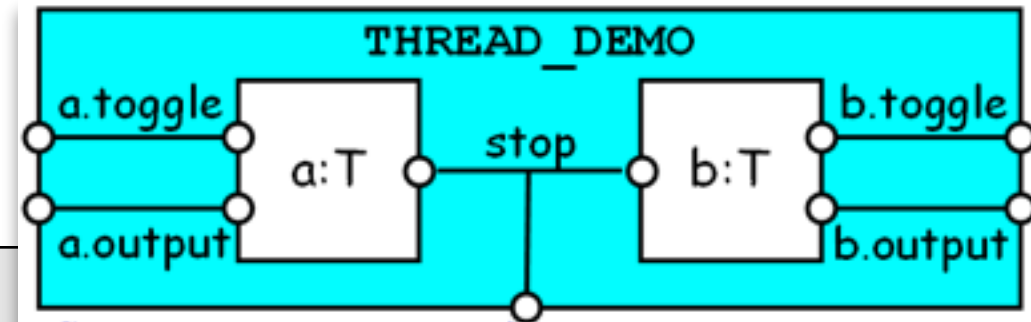
Interpret:

toggle, *abort*
as inputs;

output
as output



Threaddemo Code: Mythread



```

class MyThread extends Thread {
    private boolean on;

    MyThread(String name) { super(name); this.on = false; }

    public void toggle() { on = !on; }

    public void abort() { this.interrupt(); }

    private void output() {
        System.out.println(getName()+" : output");
    }

    public void run() {
        try {
            while (!interrupted()) {
                if (on) output();
                sleep(500);
            }
        } catch (Int'Exc' _) {}
        System.out.println("Done!");
    }
}

```

```

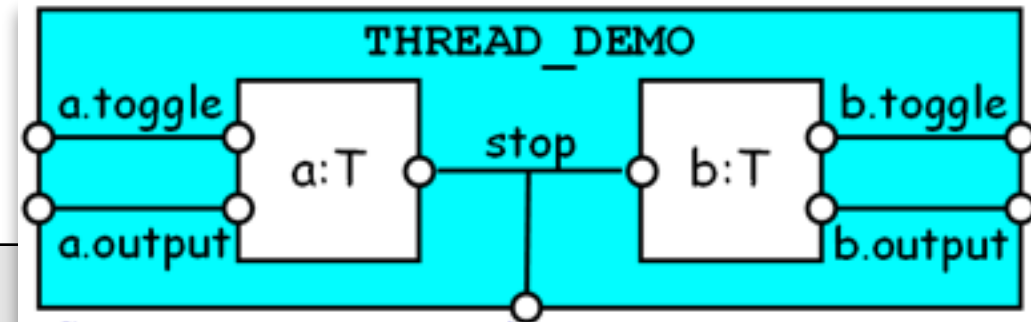
THREAD = OFF,
OFF = (toggle->ON
      | abort->STOP),
ON  = (toggle->OFF
      | output->ON
      | abort->STOP).

||THREAD_DEMO =
(a:THREAD || b:THREAD)
/{stop/{a,b}.abort}.

```



Threaddemo Code: Threaddemo



```

class ThreadDemo {
    public static void main(String[] args) {
        MyThread a = new MyThread("a");
        MyThread b = new MyThread("b");
        a.start(); b.start();
        while (true) {
            switch (readChar()) {
                case 'a': a.toggle();
                        break;
                case 'b': b.toggle();
                        break;
                case 'i': stop(a,b);
                        return;
            }
        }
    }

    private stop(MyThread a, MyThread b) {
        a.abort();
        b.abort();
    }
}

```

```

THREAD = OFF,
OFF = (toggle->ON
      | abort->STOP),
ON  = (toggle->OFF
      | output->ON
      | abort->STOP).

||THREAD_DEMO =
(a:THREAD || b:THREAD)
/{stop/{a,b}.abort}.

```



Summary

◆ Concepts

- Concurrent processes and process interaction

◆ Models

- Asynchronous (arbitrary speed) & interleaving (arbitrary order).
- Parallel composition as a finite state process with action interleaving.
- Process interaction by shared actions.
- Process labelling and action relabelling and hiding.
- Structure diagrams

◆ Practice

- Multiple threads in Java.