

# Out-trees and out-branchings



An **out-tree** in a digraph  $D = (V, A)$  is a connected subdigraph  $T_s^+$  of  $D$  in which every vertex of  $V(T_s^+)$ , except one vertex  $s$  (called the **root**) has exactly one arc entering. This is equivalent to saying that  $s$  can reach every other vertex of  $V(T_s^+)$  by a directed path using only arcs of  $T_s^+$ .

An **out-branching** in a digraph  $D = (V, A)$  is a spanning out-tree, that is, every vertex of  $V$  is in the tree. We use the notation  $B_s^+$  for an out-branching rooted at the vertex  $s$ .

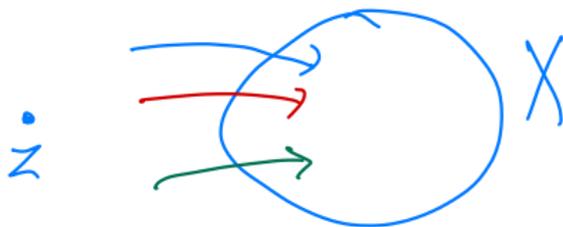
The following classical result due to Edmonds and the algorithmic proof due to Lovász, which we will give implies that one can check the existence of  $k$  arc-disjoint out-branchings in polynomial time.

## Theorem 10 (Edmonds' branching theorem)

[Edmonds, 1973] A directed multigraph  $D = (V, A)$  with a special vertex  $z$  has  $k$  arc-disjoint spanning out-branchings rooted at  $z$  if and only if

$$d^-(X) \geq k \quad \text{for all } X \subseteq V - z. \quad (4)$$

By Menger's theorem, (4) is equivalent to the existence of  $k$  arc-disjoint-paths from  $z$  to every other vertex of  $D$ .



Checking whether  $(\square) d^-(x) \geq k \quad \forall x \in V - z:$

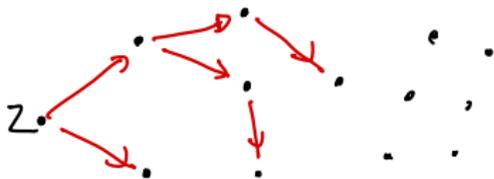
Checking for  $\geq k$   $(z, t)$ -paths in  $D$ :

- $D \rightarrow N_D = (V' \cup \{z, t\}, A, c=0, u=1) \quad V' = V \setminus \{z, t\}$
- Run Dinic's algorithm until we have a max flow or current  $(z, t)$ -flow  $x$  has value  $k$ .  $O(n^{2/3}m)$
- If  $|x| < k$  then let  $(X, \bar{X})$  be a  $(z, t)$ -cut of capacity  $r < k$  the  $d^-(\bar{X}) = r < k$ , showing that  $(\square)$  does not hold.
- In time  $(n-1) \cdot O(n^{2/3}m) = O(n^{5/3}m)$  we can check whether  $(\square)$  holds.

**Proof:** (Lovász) The necessity is clear, so we concentrate on sufficiency. The idea is to grow an out-tree  $F$  from  $z$  in such a way that the following condition is satisfied:

$$d_{D-A(F)}^-(U) \geq k - 1 \quad \text{for all } U \subseteq V - z. \quad (5)$$

If we can keep on growing  $F$  until it becomes spanning while always preserving (5), then the theorem follows by induction on  $k$ . To show that we can do this, it suffices to prove that we can add one more arc at a time to  $F$  until it is spanning.



Let us call a set  $X \subseteq V - z$  **problematic** if  $d_{D-A(F)}^-(X) = k - 1$ . It follows from the submodularity of  $d_{D-A(F)}^-$  (recall Corollary 8) that, if  $X, Y$  are problematic and  $X \cap Y \neq \emptyset$ , then so are  $X \cap Y, X \cup Y$  as we have

$$\begin{aligned}(k - 1) + (k - 1) &= d_{D-A(F)}^-(X) + d_{D-A(F)}^-(Y) \\ &\geq d_{D-A(F)}^-(X \cup Y) + d_{D-A(F)}^-(X \cap Y) \\ &\geq (k - 1) + (k - 1)\end{aligned}$$

Here the last in-equality follows from the fact that we have grown  $F$  so that (5) holds.

Observe also that, if  $X$  is problematic, then  $X \cap V(F) \neq \emptyset$ , because  $X$  has in-degree at least  $k$  in  $D$ .

If all problematic sets are contained in  $V(F)$ , then let  $T = V$ . Otherwise let  $T$  be a minimal (with respect to inclusion) problematic set which is not contained in  $V(F)$ .

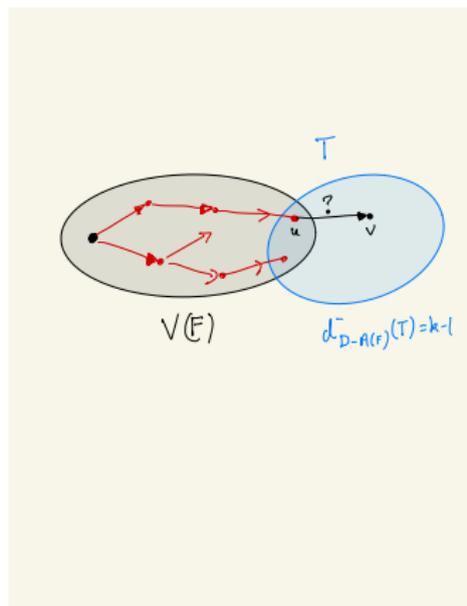


Figure: The situation when a problematic set exists

We claim that there exists an arc  $uv$  in  $D$  such that  $u \in V(F) \cap T$  and  $v \in T - V(F)$ . Indeed if this was not the case then every arc that enters  $T - V(F)$  also enters  $T$  and we would have

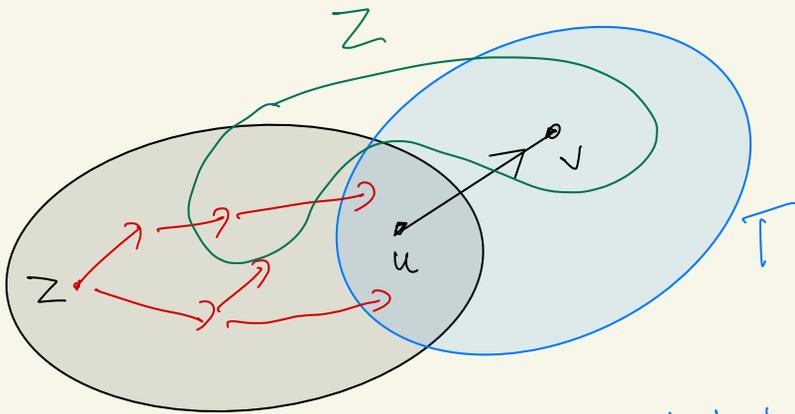
$$d_D^-(T - V(F)) = d_{D-A(F)}^-(T - V(F)) \leq d_{D-A(F)}^-(T) \leq k-1, \quad (6)$$

contradicting the assumption of the theorem. Hence there is an arc  $uv$  from  $V(F) \cap T$  to  $T - V(F)$ .

Suppose the arc  $uv$  enters a problematic set  $Z$ . Then we have

$$\begin{aligned} (k-1) + (k-1) &= d_{D-A(F)}^-(Z) + d_{D-A(F)}^-(T) \\ &\geq d_{D-A(F)}^-(Z \cup T) + d_{D-A(F)}^-(Z \cap T) \\ &\geq (k-1) + (k-1) \end{aligned}$$

Thus  $Z \cap T$  is problematic and size it is smaller than  $T$  (it does not contain  $u$ ), we obtain a contradiction to the minimality of  $T$ .



$V(F)$

$$d_{D-A(F)}^-(T) = k-1$$

$$d_{D-A(F)}^-(z) = k-1$$

$$\begin{aligned} (k-1) + (k-1) &= d_{D-A(F)}^-(T) + d_{D-A(F)}^-(z) \\ &\geq d_{D-A(F)}(T \cap Z) + d_{D-A(F)}^-(T \cup Z) \\ &\geq (k-1) + (k-1) \end{aligned}$$

$\Downarrow$

$$d_{D-A(F)}^-(T \cap Z) = k-1$$

$\rightarrow \leftarrow$  choice of  $T$

Hence we can add the arc  $u \rightarrow v$  to  $F$  and proceed. So the claim follows by induction.  $\square$ .

How to find a good arc  $u \rightarrow v$  to add?

1) let  $F$  be the current out-tree and let  $V' = V(F)$

2) For a given arc  $u \rightarrow v$  with  $u \in V'$  and  $v \in V \setminus V'$  check whether there are  $(k-1)$ -arc-disjoint  $(z, v)$ -paths

one max flow calc with source  $z$  and sink  $v$

3) We know that if  $d^-(u) \geq k \forall u \in V - z$  then

there is at least one such arc  $u \rightarrow v$  which can be added to  $F$

Total time  $(n-1)$  arcs added to  $F$  starting from  $F = \emptyset$   
 $O(m)$  arcs out of  $V(F)$  to check in each iteration is time  $O(n^{2/3} m)$   
so  $O(n \cdot m \cdot n^{2/3} m) = O(n^{5/3} m^2)$

# Implications of Edmonds' Branching Theorem

## Corollary 11 (Even 1979)

Let  $D = (V, A)$  be a  $k$ -arc-strong directed multigraph and let  $x, y$  be arbitrary distinct vertices of  $V$ . Then for every  $0 \leq r \leq k$  there exist paths  $P_1, P_2, \dots, P_k$  in  $D$  which are arc-disjoint and such that the first  $r$  paths are  $(x, y)$ -paths and the last  $k - r$  paths are  $(y, x)$ -paths.

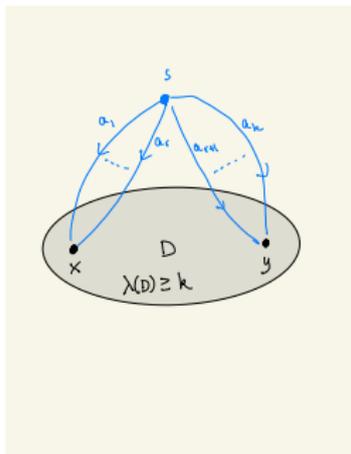


Figure: Proof of Corollary 11

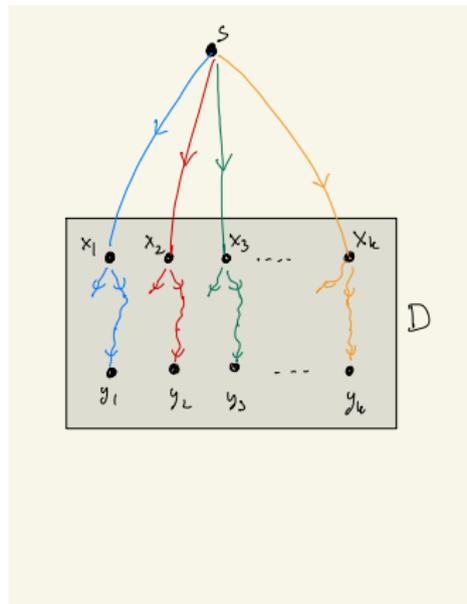
A directed multigraph  $D = (V, A)$  is **weakly- $k$ -linked** if it has a collection of arc-disjoint paths  $P_1, \dots, P_k$  such that  $P_i$  is an  $(x_i, y_i)$ -path for every choice of (not necessarily distinct vertices  $x_1, x_2, \dots, x_k, y_1, \dots, y_k \in V$ ).

Note that if  $D$  is weakly- $k$ -linked then it is  $k$ -arc-strong since we can take  $x_1 = \dots = x_k = x$  and  $y_1 = \dots = y_k = y$  for arbitrarily chosen  $x, y$ , showing that  $\lambda_D(x, y) \geq k$  and hence, by Menger's theorem,  $D$  is  $k$ -arc-strong.

Shiloach observed that Edmonds' branching theorem implies that the other direction also holds:

### Theorem 12 (Shiloach 1979)

*A directed multigraph  $D$  is weakly  $k$ -linked if and only if  $\lambda(D) \geq k$ .*

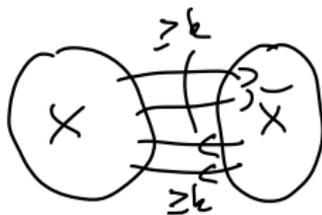


$D$  satisfies the condition in Edmonds theorem as  $D$  is  $k$ -arc-disjoint

$\Downarrow$   $\exists k$  arc-disjoint branches

$B_{s,1}^t B_{s,2}^t \dots B_{s,k}^t$

Figure: Proof of Theorem 12



Recall Robbins' theorem

### Theorem 13 (Robbins, 1939)

*A graph  $G$  has a strongly connected orientation if and only if  $G$  is connected and has no cut-edge (that is,  $\lambda(G) \geq 2$ ).*

Nash-Williams generalized this to the following.

### Theorem 14 (Nash-Williams, 1960)

*A graph  $G$  has a  $k$ -arc-strong orientation if and only if  $\lambda(G) \geq 2k$ .*

## Theorem 15 (Nash-Williams 1961, Tutte 1961)

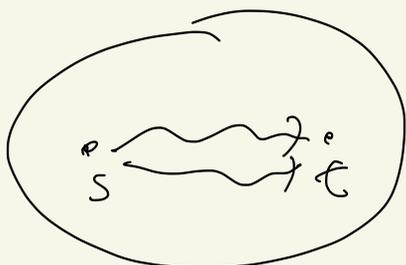
*Every graph  $G$  with  $\lambda(G) \geq 2k$  has  $k$  edge-disjoint spanning trees.*

**Proof:** :

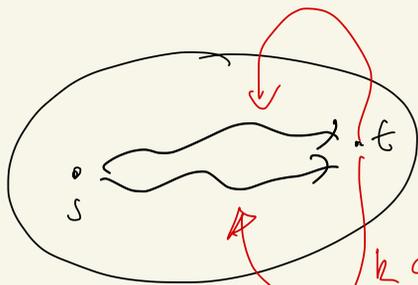
- Let  $G = (V, E)$  satisfy that  $\lambda(G) \geq 2k$ .
- By Nash-Williams' theorem,  $G$  has an orientation  $D = (V, A)$  with  $\lambda(D) \geq k$
- Let  $z$  be an arbitrary vertex of  $D$ .
- As  $d^-(X) \geq k$  for every proper subset  $X$  of  $V$  we also have  $d^-(X) \geq k$  for every  $X \subset V - z$ .
- By Edmonds' branching theorem  $D$  has  $k$  arc-disjoint out-branchings  $B_{z,1}^+, \dots, B_{z,k}^+$ .
- Back in  $G$  each of these correspond to a spanning tree.

Edmonds branching theorem

↓ Menger's theorem (arc-version)



D



$k$  arcs from  $t$  to every  $v \neq s, t$

↕ D has  $k$  arc-disjoint  $(s, t)$ -paths

↕ D' has  $k$  arc-disjoint out-branchings rooted at  $s$

↕ Edmonds branching theorem

$$d_{D'}^-(u) \geq k \quad \forall u \in V - s$$

↕  $d_D^-(u) \geq k \quad \forall u \in V - s \text{ s.t. } t \in u$